

IMPORTERS' QUESTIONNAIRE

URANIUM FROM RUSSIA

Return completed questionnaire to:

UNITED STATES INTERNATIONAL TRADE COMMISSION

Office of Investigations, Room 615
500 E Street, SW, Washington, DC 20436

So as to be received by the Commission by no later than March 30, 2006

The information called for in this questionnaire is for use by the United States International Trade Commission in connection with its antidumping review investigation concerning uranium from Russia (inv. No. 731-TA-539-C (Second Review)). The information requested in the questionnaire is requested under the authority of the Tariff Act of 1930, title VII. This report is mandatory and failure to reply as directed can result in a subpoena or other order to compel the submission of records or information in your possession (19 U.S.C. § 1333(a)).

Name of firm _____

Address _____

City _____ State _____ Zip code _____

World Wide Web address _____

Has your firm imported uranium (as defined in the instruction booklet) from any country at any time since January 1, 2000?

☐ **NO** (Sign the certification below and promptly return only this page of the questionnaire to the Commission)

☐ **YES** (Read the instruction booklet carefully, complete all parts of the questionnaire, sign the certification, and return the entire questionnaire to the Commission)

CERTIFICATION

I certify that the information herein supplied in response to this questionnaire is complete and correct to the best of my knowledge and belief and understand that the information submitted is subject to audit and verification by the Commission.

By signing this certification I also grant consent for the Commission, and its employees and contract personnel, to use the information provided in this questionnaire and throughout this review in any other import-injury investigations or reviews conducted by the Commission on the same or similar merchandise. (If you do not consent to such use, please note the certification accordingly.)

I acknowledge that information submitted in this questionnaire response and throughout this review may be used by the Commission, its employees, and contract personnel who are acting in the capacity of Commission employees, for developing or maintaining the records of this review or related proceedings for which this information is submitted, or in internal audits and investigations relating to the programs and operations of the Commission pursuant to 5 U.S.C. Appendix 3. I understand that all contract personnel will sign non-disclosure agreements.

Name and Title of Authorized Official

Date

Signature of Authorized Official

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Phone

()

Fax

PART I.--GENERAL QUESTIONS

The questions in this questionnaire have been reviewed with market participants to ensure that issues of concern are adequately addressed and that data requests are sufficient, meaningful, and as limited as possible. Public reporting burden for this questionnaire is estimated to average 30 hours per response, including the time for reviewing instructions, searching existing data sources, gathering the data needed, and completing and reviewing the questionnaire. Send comments regarding the accuracy of this burden estimate or any other aspect of this collection of information, including suggestions for reducing the burden, to the Office of Investigations, U.S. International Trade Commission, 500 E Street, SW, Washington, DC 20436.

I-1a. Please report below the actual number of hours required and the cost to your firm of preparing the reply to this questionnaire and completing the form.

_____ hours _____ dollars

I-1b. We are interested in any comments you may have for improving this questionnaire in general or the clarity of specific questions. Please attach such comments to your response or send them to the above address.

I-2. Provide the name and address of establishment(s) covered by this questionnaire (see pages 3-4 of the instruction booklet for reporting guidelines). If your firm is publicly traded, please specify the stock exchange and trading symbol.

I-3. Is your firm owned, in whole or in part, by any other firm?

☐ No ☐ Yes--List the following information.

<u>Firm name</u>	<u>Address</u>	<u>Extent of ownership</u>
_____	_____	_____
_____	_____	_____

I-4. Does your firm have any related firms, either domestic or foreign, which are engaged in importing uranium from Russia into the United States or which are engaged in exporting uranium from Russia to the United States, or which is under a contractual relationship for such activities?

☐ No ☐ Yes--List the following information.

<u>Firm name</u>	<u>Address</u>	<u>Affiliation</u>
_____	_____	_____
_____	_____	_____

PART I.--GENERAL QUESTIONS--Continued

- I-5. Does your firm have any related firms, either domestic or foreign, which are engaged in importing uranium from countries other than Russia into the United States or which are engaged in exporting uranium from countries other than Russia to the United States?

☐ No ☐ Yes--List the following information.

<u>Country/firm name</u>	<u>Address</u>	<u>Affiliation</u>
_____	_____	_____
_____	_____	_____

- I-6. Does your firm have any related firms, either domestic or foreign, which are engaged in the production of any form of uranium?

☐ No ☐ Yes--List the following information.

<u>Firm name</u>	<u>Address</u>	<u>Affiliation</u>
_____	_____	_____
_____	_____	_____

- I-7. Please indicate the nature of your firm's importing operations on uranium. More than one answer may be applicable.

<input type="checkbox"/> Importer of record	<input type="checkbox"/> Takes title to the imported product(s)
<input type="checkbox"/> Consignee of the imported product(s)	<input type="checkbox"/> Customs broker or freight forwarder

- I-8. If your firm is an importer of record of uranium but is **not** the consignee, please list the consignees below (company name, address, telephone, and individual to contact).

- I-9. Please indicate whether your firm enters uranium into, or withdraws such merchandise from, foreign trade zones or bonded warehouses.

Foreign trade zones ☐ No ☐ Yes--list location(s):

Bonded warehouses ☐ No ☐ Yes--list location(s):

PART I.--GENERAL QUESTIONS--Continued

I-10. Please indicate whether your firm imports uranium under the TIB (temporary importation under bond) program.

☐ No ☐ Yes

I-11. In Parts II and III of this questionnaire we request a copy of your company's business plan. Does your company or any related firm have a business plan or any internal documents that describe, discuss, or analyze expected future market conditions for any form of uranium?

☐ No ☐ Yes--Please provide the requested documents. If you are not providing the requested documents, please explain why not.

I-12. To your knowledge, have the products subject to this review been the subject of any other import relief investigations in the United States or in any other countries?

☐ No ☐ Yes--Please specify.

PART II.--TRADE AND RELATED INFORMATION

Further information on this part of the questionnaire can be obtained from Cynthia Trainor (202-205-3354; cynthia.trainor@usitc.gov). **Supply all data requested on a calendar-year basis.**

II-1. Who should be contacted regarding the requested trade and related information?

Company contact: _____
Name and title

Phone No. E-mail address

II-2. Has your firm experienced any plant openings, relocations, expansions, acquisitions, consolidations, closures, or prolonged shutdowns because of strikes or equipment failure, or any other change in the character of your operations or organization relating to the importation of uranium since January 1, 2000 (the year in which the suspension agreement under review was continued)?

☐ No ☐ Yes--Supply details as to the time, nature, and significance of such changes.

PART II.--TRADE AND RELATED INFORMATION--Continued

II-3. Does your firm anticipate any changes in the character of your operations or organization (as noted above) relating to the importation of uranium in the future?

☐ No

☐ Yes--Supply details as to the time, nature, and significance of such changes and provide underlying assumptions, along with relevant portions of business plans or other supporting documentation, that address this issue.

II-4. Would your firm anticipate any changes in the character of your operations or organization (as noted above) relating to the importation of uranium in the future if the suspension agreement on uranium from Russia were to be revoked?

☐ No

☐ Yes--Supply details as to the time, nature, and significance of such changes and provide underlying assumptions, along with relevant portions of business plans or other supporting documentation, that address this issue.

II-5. Has your firm imported or contracted for importation of uranium from Russia for delivery after December 31, 2005?

☐ No

☐ Yes--Indicate when such orders are to be delivered and the quantities involved.

II-6. If your firm also produces uranium in the United States, please indicate your reasons for importing this product. If your reasons differ by source, please elaborate.

PART II.--TRADE AND RELATED INFORMATION--Continued

- II-7. **IMPORTS BY SOURCE**--Report your firm's imports and your firm's shipments and inventories of uranium concentrate, natural uranium hexafluoride, enriched uranium hexafluoride, and enriched uranium oxides, nitrates, and metals imported by your firm during 2000-2005. (See definitions in the instruction booklet.) **Report separately for each of the four uranium products for Russia and for all other sources combined. Include any imports of Russian-made uranium imported from third countries. Photocopy as many pages as you need and identify the product and country for which you are reporting in the space provided.** Also note that the value of imports and sales for enriched uranium oxides, nitrates, and metals should include the value of pelletization (if pelletized) but not the value of any encapsulation into fuel rods or the fuel rods' assembly.

Product: _____ ☐ Russia ☐ All other sources combined¹

(Quantity in 1,000 pounds U₃O₈ for concentrate, SWUs and kilograms of Uranium for LEU, and kilograms of U for all other, value in \$1,000)						
Item	2000	2001	2002	2003	2004	2005
BEGINNING-OF-PERIOD INVENTORIES (<i>quantity</i>)						
IMPORTS:²						
Quantity of imports						
Value of imports						
U.S. SHIPMENTS:						
Commercial shipments:						
Quantity of commercial shipments						
Value of commercial shipments						
Internal consumption/company transfers:						
Quantity of internal consumption/transfers						
Value ³ of internal consumption/transfers						
EXPORT SHIPMENTS:⁴						
Quantity of export shipments						
Value of export shipments						
END-OF-PERIOD INVENTORIES⁵ (<i>quantity</i>)						
U.S. SHIPMENTS TO DISTRIBUTORS (<i>quantity</i>)						
U.S. SHIPMENTS TO END USERS (<i>quantity</i>)						
¹ Please identify these sources: _____						
² Please identify the foreign producers, if known: _____						
³ Sales to related firms (including internal consumption) must be valued at fair market value. In the event that you use a different basis for valuing these sales within your company, please specify that basis (e.g., cost, cost plus, etc.) and provide value data using that basis for 2000-05 below: _____ _____						
⁴ Identify your principal export markets: _____						
⁵ Reconciliation of data --Please note that the quantities reported above should reconcile as follows: beginning-of-period inventories, plus imports, less total shipments, equals end-of-period inventories. Do the data reported reconcile? <input type="checkbox"/> Yes <input type="checkbox"/> No--Please explain: _____						

PART II--TRADE AND RELATED INFORMATION--Continued

- II-8. **Inventories of Russian-made uranium outside the United States.**—If you own and/or control any inventories of Russian-made uranium outside the United States, please report the quantity and value of these inventories by product and country as of December 31, 2005.

- II-9. Describe the significance of the existing suspension agreement covering imports of uranium from Russia in terms of its effect on your firm's imports, U.S. shipments of imports, and inventories. You may wish to compare your firm's operations before and after the imposition of the order.

- II-10. Would your firm anticipate any changes in its imports, U.S. shipments of imports, or inventories of uranium in the future if the suspended investigation on uranium from Russia were to be terminated?

☐ No ☐ Yes – Supply details as to the time, nature, and significance of such changes and provide underlying assumptions, along with relevant portions of business plans or other supporting documentation, for any trends or projections you may provide.

PART III.--PRICING AND MARKET FACTORS

Further information on this part of the questionnaire can be obtained from Craig Thomsen (202-205-3226).

III-1. Who should be contacted regarding the requested pricing and related information?

Company contact: _____
 Name and title

 Email address _____ Phone No. _____

Sections III-A through III-D request U.S. importers to report their pricing data for the uranium products they imported from Russia: uranium concentrates, uranium hexafluoride (UF₆) in the natural state, low-enriched uranium hexafluoride (LEU-HF), and uranium dioxide (UO₂) in the low-enriched state (LEU-DO).

If your firm sells its subject imported uranium product(s) to U.S. customers, report **delivered selling price data**; if your firm is a U.S. electric utility, enricher, fuel-assembly fabricator, or other U.S. firm that imports for its own use, report **delivered import price data**.

Check (✓) below to indicate what your firm does with its subject imported uranium:

IMPORT FOR RESALE ☐ IMPORT FOR OWN USE ☐

DO NOT REPORT, in the price tables provided, pricing data for the subject imported uranium products where total remuneration by the U.S. customer purchasing from the importer or the firm importing for its own use involves both (1) a transfer of uranium concentrates, natural UF₆, or LEU-HF and (2) a separate payment for the conversion and/or enrichment services. Such transaction data will be requested elsewhere.

Section III-A.--PRICES OF URANIUM CONCENTRATES IMPORTED FROM RUSSIA

This section requests quarterly price and quantity data concerning your firm's U.S. sales or own use of its imported uranium concentrates from Russia and delivered to converters in the United States or Canada during January 2000-December 2005. Report price data in this section for uranium concentrates that your firm imported from Russia and either sold to U.S. customers unrelated to your firm or imported for its own use. Report the requested price data separately for each subject country and show the country name where indicated at the top of the table.

Prices--Prices requested are **net of ALL discounts, allowances (including any FREIGHT ALLOWANCES), rebates, and any other deductions or premiums** and based on commercial quantities, not introductory offers. Express prices of the subject imported uranium concentrates in dollars per pound of U₃O₈ or equivalent U₃O₈ pounds.

Weighted-average net U.S. delivered prices--These are (1) **net U.S. delivered selling prices** that you invoice your U.S. customers for your subject imported uranium concentrates and for delivery of the imported product to U.S. and/or Canadian converters designated by these customers; or (2) **net U.S. delivered import prices** that are c.i.f., landed, duty-paid import prices plus inland freight to your designated converter in the United States and/or Canada for the uranium concentrates that you imported for your own use. Weighted-average net U.S. delivered prices are calculated by dividing total net delivered sales/import values for a particular period by the total net sales/import quantity shipped in that period.

PART III.--PRICING AND MARKET FACTORS

Section III-A.--PRICES OF URANIUM CONCENTRATES IMPORTED FROM RUSSIA--Continued

Quantities.—Quantities of subject imported uranium concentrates are requested net of returns and expressed in pounds of U_3O_8 or equivalent U_3O_8 pounds. If your firm uses metric units for the quantity of uranium concentrates, please convert to pounds, at the rate of 2.2046 pounds per kilogram, when reporting the pricing data.

Spot sales/imports.—An agreement, usually written, to supply uranium concentrates on an immediate or near-term basis (within 12 months) from the time of order.

Contract sales/imports.—A written agreement to supply uranium concentrates during a specified period of time, with deliveries scheduled into the first calendar year or beyond from the contract-signing year. The total amount to be supplied may be specified exactly or approximately. Prices MAY or MAY NOT be fixed.

IF FIXED, prices may be set at a specified level during the entire contract period, or may initially be set at some level but subject to increases at intervals and amounts specified in the contract.

IF NOT FIXED, prices are based on some agreed upon prevailing market price indicator at the time of delivery and—

(a) may be subject to a contract requirement of a floor price (based on market price or your costs), a price ceiling, a discount from the market price, or some combination of these, or

(b) may not be subject to such contract price requirements, but subject to escalator clauses.

Total shipments in each quarter.—Please report separately, by subject country, in the tables provided beginning on page 13, **for each sales/import agreement category identified below** the quantity, total net delivered value, and net U.S. delivered weighted-average prices for your firm's total **quarterly** shipments of its subject imported uranium concentrates that it either a) sold to U.S. customers (unrelated to your firm) and shipped to converters, or b) imported for your own use and shipped to your designated U.S. location. SHIPMENTS REFER TO (a) YOUR IMPORTS THAT WERE PHYSICALLY DELIVERED AND/OR BOOK TRANSFERRED TO YOUR U.S. UTILITY CUSTOMERS, EITHER DIRECTLY UPON IMPORTATION OR FROM YOUR FIRM'S U.S. INVENTORIES OF ITS IMPORTED PRODUCTS, OR (b) YOUR FIRM'S IMPORTS THAT WERE FOR ITS OWN USE.

Show, where indicated at the top of the tables, whether the reported price data are U.S. delivered selling or import price data (the latter only if imported for your own use). Also report the requested pricing data separately for multiyear-shipment contracts, sales categories (2) and (3), by the year the contracts were negotiated; combine shipment data for all contracts negotiated in the same year and make copies of the appropriate tables to show the requested price data separately for each group of contracts negotiated in the same year. Show where requested in the tables, the contract year of the group of contracts for which shipment data are reported in the table, the full shipment period encompassed by all such contracts, the total number and the estimated total maximum quantity of these contracts.

PART III.--PRICING AND MARKET FACTORS

Section III-A.--PRICES OF URANIUM CONCENTRATES IMPORTED FROM RUSSIA—Continued

Sales/import agreement categories.--

- (1) combined spot sales/imports and those contract sales/imports of the subject imported uranium concentrates where the prices are based on market prices at the time of shipment and the contracts DO NOT specify a price/cost-based floor, a price ceiling, or a discount from the market price,
- (2) contract sales/imports of the subject imported uranium concentrates where prices are based on market prices at the time of shipment but the contract specifies a price/cost-based floor, a price ceiling, a discount from the market price, or some combination of these,
- (3) contract sales/imports of the subject imported uranium concentrates where prices are fixed or subject to escalator clauses specified in the contract.

PRODUCT DESCRIPTION:

Uranium concentrates.--Uranium concentrates, sometimes called yellow cake, which have NOT been converted or enriched (defined on page 4 of the instruction booklet).

PART III.--PRICING AND MARKET FACTORS**Section III-A.--PRICES OF URANIUM CONCENTRATES IMPORTED FROM RUSSIA--Continued**

III-A.1 Show in the following tabulation BY CATEGORIES OF SALES/IMPORT AGREEMENTS the total quantity (in pounds of U_3O_8 or equivalent U_3O_8 pounds) of your firm's shipments (book transfers and physical movements) to U.S. and Canadian converters during 2000-2005 that represented either sales or internal use (check ☒ one below). Report separately for each subject country and show the country of origin where indicated; *copy this table as needed*.

Country of origin:

☐
☐

Sales of its subject imported uranium concentrates to U.S. customers, or

Subject uranium concentrates that it imported for its own use.

Categories of sales/import agreements		Uranium concentrates (Pounds of U_3O_8 or equivalent U_3O_8 pounds)
Spot <i>market</i> and those contract sales/imports based on <i>market</i> prices WITHOUT specified price/cost-based floors, price ceilings, or a discount from market price		
Contract sales/imports based on <i>market</i> prices WITH specified price/cost-based floors, price ceilings, a discount from market price, or some combination of these		
Contract sales/imports specifying a <i>fixed</i> price or escalator clauses		
Other (specify)		

PART III.--PRICING AND MARKET FACTORS

III-A.2	Does your firm import uranium concentrates under a re-export provision of the Suspension Agreement?
	<div><div><input type="checkbox"/> YES</div><div><input type="checkbox"/> NO</div></div> <p>If YES, please discuss separately below by each country of origin of the uranium concentrates (1) the products you exported during 2000-2005 containing (likely in some further processed form) the uranium concentrates that you imported, (2) the country(ies) of origin of the uranium concentrates and, if different, the country(ies) where such products were mined , (3) the quantity and quality of the imported uranium concentrates, (4) the reason(s) why you imported these uranium concentrates instead of purchasing U.S.-produced product. Include any other information that would be helpful in understanding your firm's uranium re-export activities and the impact it has on the U.S. uranium market. <i>Attach additional pages of discussion as needed.</i></p>

PART III.--PRICING AND MARKET FACTORS**Section III-A.--PRICES OF URANIUM CONCENTRATES IMPORTED FROM RUSSIA--Continued**

III-A.3 (a) Sales/import Category (1): Combined spot sales/imports and those contract sales/imports of the Subject imported uranium concentrates where the prices are based on market prices at the time of shipment and the contracts DO NOT specify a price/cost-based floor, a price ceiling, or a discount from market price.

(COPY TABLE AS NEEDED)

Country of origin:	Russia		
Delivered Selling Price Data	<input type="checkbox"/>	Delivered Import Price Data	<input type="checkbox"/>
Check (<input checked="" type="checkbox"/>) one			
	QUARTERLY SHIPMENTS		
	Total quantity	Total net delivered value	Weighted-average net delivered price ¹
Period of shipment	<i>Pounds of U₃O₈</i>	<i>Dollars</i>	<i>Dollars/lb. of U₃O₈</i>
2000:			
January-March			
April-June			
July-September			
October-December			
2001:			
January-March			
April-June			
July-September			
October-December			
2002:			
January-March			
April-June			
July-September			
October-December			
¹ Total net delivered value divided by total quantity. Note: The quantity is in pounds of U ₃ O ₈ or equivalent U ₃ O ₈ pounds.			

PART III.--PRICING AND MARKET FACTORS**Section III-A.--PRICES OF URANIUM CONCENTRATES IMPORTED FROM RUSSIA--Continued**

III-A.3 (a) Sales/import Category (1): Combined spot sales/imports and those contract sales/imports of the Subject imported uranium concentrates where the prices are based on market prices at the time of shipment and the contracts DO NOT specify a price/cost-based floor, a price ceiling, or a discount from market price.

(cont.)

(COPY TABLE AS NEEDED)

Country of origin:	Russia		
Delivered Selling Price Data	<input type="checkbox"/>	Delivered Import Price Data	<input type="checkbox"/>
Check (<input checked="" type="checkbox"/>) one			
Period of shipment	QUARTERLY SHIPMENTS		
	Total quantity	Total net delivered value	Weighted-average net delivered price ¹
	<i>Pounds of U₃O₈</i>	<i>Dollars</i>	<i>Dollars/lb. of U₃O₈</i>
2003:			
January-March			
April-June			
July-September			
October-December			
2004:			
January-March			
April-June			
July-September			
October-December			
2005:			
January-March			
April-June			
July-September			
October-December			
¹ Total net delivered value divided by total quantity. Note: The quantity is in pounds of U ₃ O ₈ or equivalent U ₃ O ₈ pounds.			

PART III.--PRICING AND MARKET FACTORS**Section III-A.--PRICES OF URANIUM CONCENTRATES IMPORTED FROM
RUSSIA--Continued**

III-A.3 (b) Sales/import Category (2): Contract sales/imports of the subject imported uranium concentrates where prices are based on market prices at the time of shipment but the contract specifies a price/cost-based floor, a ceiling price, a discount from market price, or some combination of these.

COPY THIS TABLE AS NEEDED TO SHOW THE REQUESTED PRICE DATA SEPARATELY BY CONTRACT YEAR IN SALES CATEGORY (2).

Country of origin:	Russia		
Delivered Selling Price Data	<input type="checkbox"/>	Delivered Import Price Data	<input type="checkbox"/>
Check (<input checked="" type="checkbox"/>) one			
Contract ¹	Year:	Full period of all contracts:	
No. of contracts:	Total (maximum) quantity:		
	QUARTERLY SHIPMENTS		
	Total quantity	Total net delivered value	Weighted-average net delivered price ²
Period of shipment	<i>Pounds of U₃O₈</i>	<i>Dollars</i>	<i>Dollars/lb. of U₃O₈</i>
2000:			
January-March			
April-June			
July-September			
October-December			
2001:			
January-March			
April-June			
July-September			
October-December			
2002:			
January-March			
April-June			
July-September			
October-December			
¹ Specify where indicated (1) the <u>year</u> the group of contracts, for which shipment data are reported in this table, were negotiated, (2) the <u>full shipment period</u> encompassed by all such contracts (earliest beginning and latest ending shipment dates), (3) the total number of such contracts, and (4) the estimated total maximum quantity of these contracts. ² Total net delivered value divided by total quantity. Note: The quantity uranium concentrates is in pounds of U ₃ O ₈ or equivalent U ₃ O ₈ pounds.			

PART III.--PRICING AND MARKET FACTORS**Section III-A.--PRICES OF URANIUM CONCENTRATES IMPORTED FROM
RUSSIA--Continued**

III-A.3 (b) Sales/import Category (2): Contract sales/imports of the subject imported uranium concentrates (cont.) where prices are based on market prices at the time of shipment but the contract specifies a price/cost-based floor, a ceiling price, a discount from market price, or some combination of these.

COPY THIS TABLE AS NEEDED TO SHOW THE REQUESTED PRICE DATA SEPARATELY BY CONTRACT YEAR IN SALES CATEGORY (2).

Country of origin:	Russia		
Delivered Selling Price Data	<input type="checkbox"/>	Delivered Import Price Data	<input type="checkbox"/>
Check (<input checked="" type="checkbox"/>) one			
Contract ¹	Year: _____	Full period of all contracts: _____	
No. of contracts: _____	Total (maximum) quantity: _____		
	QUARTERLY SHIPMENTS		
	Total quantity	Total net delivered value	Weighted-average net delivered price ²
Period of shipment	<i>Pounds of U₃O₈</i>	<i>Dollars</i>	<i>Dollars/lb. of U₃O₈</i>
2003:			
January-March			
April-June			
July-September			
October-December			
2004:			
January-March			
April-June			
July-September			
October-December			
2005:			
January-March			
April-June			
July-September			
October-December			
¹ Specify where indicated (1) the <u>year</u> the group of contracts, for which shipment data are reported in this table, were negotiated, (2) the <u>full shipment period</u> encompassed by all such contracts (earliest beginning and latest ending shipment dates), (3) the total number of such contracts , and (4) the estimated total maximum quantity of these contracts. ² Total net delivered value divided by total quantity. Note: The quantity uranium concentrates is in pounds of U ₃ O ₈ or equivalent U ₃ O ₈ pounds.			

PART III.--PRICING AND MARKET FACTORS**Section III-A.--PRICES OF URANIUM CONCENTRATES IMPORTED FROM
RUSSIA--Continued**

III-A.3 ©) Sales/import Category (3): Contract sales/imports of the subject imported uranium concentrates where prices are fixed or subject to escalator clauses specified in the contract.

**COPY THIS TABLE AS NEEDED TO SHOW THE REQUESTED PRICE DATA SEPARATELY BY
CONTRACT YEAR IN SALES CATEGORY (3).**

Country of origin:	Russia		
Delivered Selling Price Data	<input type="checkbox"/>	Delivered Import Price Data	<input type="checkbox"/>
Check (<input checked="" type="checkbox"/>) one			
Contract ¹	Year:	Full period of all contracts:	
No. of contracts:	Total (maximum) quantity:		
	QUARTERLY SHIPMENTS		
	Total quantity	Total net delivered value	Weighted-average net delivered price ²
Period of shipment	<i>Pounds of U₃O₈</i>	<i>Dollars</i>	<i>Dollars/lb. of U₃O₈</i>
2000:			
January-March			
April-June			
July-September			
October-December			
2001:			
January-March			
April-June			
July-September			
October-December			
2002:			
January-March			
April-June			
July-September			
October-December			
¹ Specify where indicated (1) the <u>year</u> the group of contracts, for which shipment data are reported in this table, were negotiated, (2) the <u>full shipment period</u> encompassed by all such contracts (earliest beginning and latest ending shipment dates), (3) the total number of such contracts , and (4) the estimated total maximum quantity of these contracts. ² Total net delivered value divided by total quantity. Note: The quantity uranium concentrates is in pounds of U ₃ O ₈ or equivalent U ₃ O ₈ pounds.			

PART III.--PRICING AND MARKET FACTORS**Section III-A.--PRICES OF URANIUM CONCENTRATES IMPORTED FROM RUSSIA--Continued**

III-A.3 ©) Sales/import Category (3): Contract sales/imports of the subject imported uranium concentrates
(cont.) where prices are fixed or subject to escalator clauses specified in the contract.

COPY THIS TABLE AS NEEDED TO SHOW THE REQUESTED PRICE DATA SEPARATELY BY CONTRACT YEAR IN SALES CATEGORY (3).

Country of origin:	Russia		
Delivered Selling Price Data	<input type="checkbox"/>	Delivered Import Price Data	<input type="checkbox"/>
Check (<input checked="" type="checkbox"/>) one			
Contract ¹	Year:	Full period of all contracts:	
No. of contracts:	Total (maximum) quantity:		
	QUARTERLY SHIPMENTS		
	Total quantity	Total net delivered value	Weighted-average net delivered price ²
Period of shipment	<i>Pounds of U₃O₈</i>	<i>Dollars</i>	<i>Dollars/lb. of U₃O₈</i>
2003:			
January-March			
April-June			
July-September			
October-December			
2004:			
January-March			
April-June			
July-September			
October-December			
2005:			
January-March			
April-June			
July-September			
October-December			
¹ Specify where indicated (1) the <u>year</u> the group of contracts, for which shipment data are reported in this table, were negotiated, (2) the <u>full shipment period</u> encompassed by all such contracts (earliest beginning and latest ending shipment dates), (3) the total number of such contracts , and (4) the estimated total maximum quantity of these contracts. ² Total net delivered value divided by total quantity. Note: The quantity uranium concentrates is in pounds of U ₃ O ₈ or equivalent U ₃ O ₈ pounds.			

PART III.--PRICING AND MARKET FACTORS**Section III-A.--PRICES OF URANIUM CONCENTRATES IMPORTED FROM
RUSSIA--Continued**

III-A.4	Future Selling/Import Price Information
	<p>Please discuss below, as appropriate, the three largest multi-year sales/purchase contracts involving your U.S.-imported uranium concentrates from each of the subject countries that were negotiated during 2000-2005; report sales contract information if you sold the subject imported product to a U.S. customer and report purchase contract information if you imported the subject product for your own use. Include as a minimum the information requested below. <i>Attach additional pages of discussion as needed.</i> Report separately for each subject country and show the country name where indicated; <i>copy this page as needed.</i></p>
	Country of origin:
	<p>Sold to U.S. customer <input type="checkbox"/> Imported for own use <input type="checkbox"/> (Check <input checked="" type="checkbox"/> one)</p>
	If sold, the name and type of U.S. customer (electric utility, trader, etc.).
	The total contract quantity (in pounds of U ₃ O ₈ or equivalent U ₃ O ₈ pounds) and any quantity flexibility provided for in the contract.
	The beginning and ending shipment dates of the full contract.
	A full and detailed description of the price provisions including any price floors, price ceilings, price escalator clauses, and any meet or release price provisions. In addition, identify and explain the factors considered by your firm and/or discussed with your customer in arriving at the contract's price provisions.
	Report below the total quantity and weighted-average price for shipments of uranium concentrates, under this contract, that 1) occurred during 2000-05, and 2) are expected to occur during 2006-07.
	1) Contract shipments that occurred during 2000-05 (give the shipment date(s)).
	2) Contract shipments expected to occur during 2006-07.

PART III.--PRICING AND MARKET FACTORS

Section III-B.--PRICES OF NATURAL UF₆ IMPORTED FROM RUSSIA

This section requests quarterly price and quantity data concerning your firm's U.S. sales or own-use of its imported natural UF₆ from Russia during January 2000-December 2005. Report price data in this section for natural UF₆ that your firm imported from Russia and either sold to U.S. customers unrelated to your firm or imported for its own use. Report the requested price data separately for each subject country and show the country name where indicated at the top of the table.

Prices.—Prices requested are **net of ALL discounts, allowances (including any FREIGHT ALLOWANCES), rebates, and any other deductions or premiums** and based on commercial quantities, not introductory offers. Express prices of the subject imported natural UF₆ in dollars per kilogram (kg) of uranium (U) in the natural UF₆.

Weighted-average net U.S. delivered prices.—These are (1) **net U.S. delivered selling prices** that you invoice your U.S. customers for your subject imported natural UF₆ and for delivery of the product to U.S. enrichers designated by these customers; or (2) net **U.S. delivered import prices** that are c.i.f., landed, duty-paid import prices plus U.S.-inland freight to your designated U.S. enricher for natural UF₆ that you imported for your own use. Weighted-average net U.S. delivered prices are calculated by dividing total net delivered sales/import values for a particular period by the total net sales/import quantity shipped in that period.

Quantities.—Quantities of the subject imported natural UF₆ are requested net of returns and expressed in kgs of U in the natural UF₆. If your firm uses pounds for the quantity of uranium in natural UF₆, please convert to kilograms, at the rate of 0.45359 kilograms per pound, when reporting the pricing data.

Spot sales/import agreements.—An agreement, usually written, to supply natural UF₆ on an immediate or near-term basis (within 12 months) from the time of order.

Contract sales/import agreements.—A written agreement to supply natural UF₆ during a specified period of time, with deliveries of the natural UF₆ scheduled into the first calendar year or beyond from the contract-signing year. The total amount of this product may be specified exactly or approximately. Prices MAY or MAY NOT be fixed.

IF FIXED, prices may be set at a specified level during the entire contract period, or may initially be set at some level but subject to increases at intervals and amounts specified in the contract.

IF NOT FIXED, prices are based on some agreed upon prevailing market price indicator at the time of delivery and—

(a) may be subject to a contract requirement of a floor price (based on market prices or your costs), a ceiling price, a discount from the market price, or some combination of these, or

(b) may not be subject to such contract price requirements, but subject to escalator clauses.

PART III.--PRICING AND MARKET FACTORS**Section III-B.--PRICES OF NATURAL UF₆ IMPORTED FROM RUSSIA--Continued**

Total shipments in each quarter.--Please report separately, by subject country, in the tables beginning on page 22, **for each sales/import agreement category identified below** the quantity, total net delivered value, and net U.S. delivered weighted-average prices for your firm's total *quarterly* shipments of its subject imported natural UF₆ that it either a) sold to U.S. customers (unrelated to your firm) and shipped to U.S. enrichment facilities, or b) imported for your own use and shipped to U.S. enrichment facilities. SHIPMENTS REFER TO (a) YOUR IMPORTS THAT WERE PHYSICALLY DELIVERED AND/OR BOOK TRANSFERRED TO YOUR U.S. UTILITY CUSTOMERS, EITHER DIRECTLY UPON IMPORTATION OR FROM YOUR FIRM'S U.S. INVENTORIES OF ITS IMPORTED PRODUCTS, OR (b) YOUR FIRM'S IMPORTS THAT WERE FOR ITS OWN USE.

Show, where indicated at the top of the tables, whether the reported price data are U.S. delivered selling or import price data (the latter only if imported for your own use). Also report the requested data separately for multiyear-shipment contracts, in sales/import agreement categories (2) and (3), by the year the contracts were negotiated; combine shipment data for all contracts negotiated in the same year and make copies of the appropriate tables to show the requested price data separately for each group of contracts negotiated in the same year. Show where requested in the tables, the contract year of the group of contracts for which shipment data are reported in the table, the full shipment period encompassed by all such contracts, the total number of such contracts, and the estimated total maximum quantity of these contracts.

Sales/import agreement categories.--

(1) combined spot sales/imports and those contract sales/imports of subject imported natural UF₆ where the prices are based on market prices at the time of shipment and the contracts DO NOT specify a price/cost-based floor or a price ceiling,

(2) contract sales/imports of subject imported natural UF₆ where prices are based on market prices at the time of shipment but the contract specifies a price/cost-based floor, a price ceiling, a discount from the market price, or some combination of these,

(3) contract sales/imports of subject imported natural UF₆ where prices are fixed or subject to escalator clauses specified in the contract.

PRODUCT DESCRIPTION:

Natural uranium hexafluoride.--Uranium hexafluoride in the natural, unenriched, state (defined on page 4 of the instruction booklet).

PART III.--PRICING AND MARKET FACTORS**Section III-B.--PRICES OF NATURAL UF₆ IMPORTED FROM RUSSIA--Continued**

III-B.1 Show in the following tabulation BY CATEGORIES OF SALES/IMPORT AGREEMENTS the total quantity (in kgs of U as natural UF₆) of your firm's shipments (book transfers and physical movements) to U.S. enrichers during 2000-05 that represented either sales or internal use (check ☒ one below). Report separately for each subject country and show the country of origin where indicated; *copy this table as needed*.

Country of origin:

☐
☐

Sales of its subject imported natural UF₆ to U.S. customers, or

Subject natural UF₆ that it imported for its own use.

Categories of sales/import agreements		(Kilograms of U in natural UF ₆)
Spot <i>market</i> and those contract sales/imports based on <i>market</i> prices WITHOUT specified price/cost-based floors, price ceilings, or discounts from market price.		
Contract sales/imports based on <i>market</i> prices WITH specified price/cost-based floors, price ceilings, discounts from market prices, or some combination of these.		
Contract sales/imports specifying a <i>fixed</i> price or escalator clauses		
Other (specify)		

PART III.--PRICING AND MARKET FACTORS**Section III-B.--PRICES OF NATURAL UF₆ IMPORTED FROM RUSSIA--Continued**

III-B.2	Report below the requested annual data for transactions where you sold your subject imported natural UF ₆ to U.S. customers during January 2000-December 2005 but their payment included (1) transfers of the customers' uranium concentrates to you as the feedstock portion of the imported natural UF ₆ and (2) a separate payment in dollars for the conversion portion of the imported natural UF ₆ . Report similarly if you imported the natural UF ₆ for your own use during this period but your payment consisted of a transfer of your uranium concentrates and a separate dollar payment for the conversion portion of the imported natural UF ₆ . Report separately for each subject country and show the country of origin where indicated; <i>copy this table as needed.</i>						
	Country of origin:						
	Report for each year during 2000-05, total U.S. shipments of your subject imported natural UF ₆ (kgs of U in the natural UF ₆) that you--						
		2000	2001	2002	2003	2004	2005
	Sold to U.S. customers						
	Imported for your own use						
	Also show, for the annual quantities of the subject imported natural UF ₆ reported, the breakdown of the total payments consisting of the following:						
(1) Transfers of uranium concentrates for the feedstock portion, in pounds of U ₃ O ₈ .							
(2) Separate payments in U.S. dollars for the conversion portion.							

Section III-B.--PRICES OF NATURAL UF₆ IMPORTED FROM RUSSIA—Continued[illegible]

PART III.--PRICING AND MARKET FACTORS**Section III-B.--PRICES OF NATURAL UF₆ IMPORTED FROM RUSSIA--Continued**

III-B.4 (a) Sales/import Category (1): Combined spot sales/imports and those contract sales/imports of the subject imported natural UF₆ where the prices are based on market prices at the time of shipment and the contracts DO NOT specify a price/cost-based floor, a price ceiling, or a discount from the market price.

(COPY TABLE AS NEEDED)

Country of origin: Russia			
Delivered Selling Price Data <input type="checkbox"/>		Delivered Import Price Data <input type="checkbox"/>	
Check (<input checked="" type="checkbox"/>) one			
Period of shipment	QUARTERLY SHIPMENTS		
	Total quantity	Total net delivered value	Weighted-average net delivered price ¹
	<i>Kilograms of natural U</i>	<i>Dollars</i>	<i>Dollars/kg of natural U</i>
2000:			
January-March			
April-June			
July-September			
October-December			
2001:			
January-March			
April-June			
July-September			
October-December			
2002:			
January-March			
April-June			
July-September			
October-December			
¹ Total net delivered value divided by total quantity. Note: The quantity is in kilograms of U in the natural UF ₆ .			

PART III.--PRICING AND MARKET FACTORS**Section III-B.--PRICES OF NATURAL UF₆ IMPORTED FROM RUSSIA--Continued**

III-B.4 (a) (cont.) Sales/import Category (1): Combined spot sales/imports and those contract sales/imports of the subject imported natural UF₆ where the prices are based on market prices at the time of shipment and the contracts DO NOT specify a price/cost-based floor, a price ceiling, or a discount from the market price.

(COPY TABLE AS NEEDED)

Country of origin: Russia			
Delivered Selling Price Data <input type="checkbox"/>		Delivered Import Price Data <input type="checkbox"/>	
Check (<input checked="" type="checkbox"/>) one			
Period of shipment	QUARTERLY SHIPMENTS		
	Total quantity	Total net delivered value	Weighted-average net delivered price ¹
	<i>Kilograms of natural U</i>	<i>Dollars</i>	<i>Dollars/kg of natural U</i>
2003:			
January-March			
April-June			
July-September			
October-December			
2004:			
January-March			
April-June			
July-September			
October-December			
2005:			
January-March			
April-June			
July-September			
October-December			
¹ Total net delivered value divided by total quantity. Note: The quantity is in kilograms of U in the natural UF ₆ .			

PART III.--PRICING AND MARKET FACTORS**Section III-B.--PRICES OF NATURAL UF₆ IMPORTED FROM RUSSIA--Continued**

III-B.4 (b) Sales/import Category (2): Contract sales/imports of the subject imported natural UF₆ where prices are based on market prices at the time of shipment but the contract specifies a price/cost-based floor, a price ceiling, a discount from the market price, or some combination of these.

COPY THIS TABLE AS NEEDED TO SHOW THE REQUESTED PRICE DATA SEPARATELY BY CONTRACT YEAR IN SALES CATEGORY (2).

Country of origin: Russia			
Delivered Selling Price Data <input type="checkbox"/>		Delivered Import Price Data <input type="checkbox"/>	
Check (✓) one			
Contract:¹	Year: _____	Full period of all contracts: _____	
No. of contracts: _____		Total (maximum) quantity: _____	
Period of shipment	QUARTERLY SHIPMENTS		
	Total quantity	Total net delivered value	Weighted-average net unit delivered price ²
	<i>Kilograms of natural U</i>	<i>Dollars</i>	<i>Dollars/kg of natural U</i>
2000:			
January-March			
April-June			
July-September			
October-December			
2001:			
January-March			
April-June			
July-September			
October-December			
2002:			
January-March			
April-June			
July-September			
October-December			
¹ Specify where indicated (1) the <u>year</u> the group of contracts, for which shipment data are reported in this table, were negotiated, (2) the <u>full shipment period</u> encompassed by all such contracts (earliest beginning and latest ending shipment dates), (3) the total number of such contracts, and (4) the estimated total maximum quantity of these contracts ² Total net delivered value divided by total quantity. Note: The quantity is in kilograms of U contained in the natural UF ₆ .			

PART III.--PRICING AND MARKET FACTORS**Section III-B.--PRICES OF NATURAL UF₆ IMPORTED FROM RUSSIA--Continued**

III-B.4 (b) Sales/import Category (2): Contract sales/imports of the subject imported natural UF₆ where prices (cont.) are based on market prices at the time of shipment but the contract specifies a price/cost-based floor, a price ceiling, a discount from the market price, or some combination of these.

COPY THIS TABLE AS NEEDED TO SHOW THE REQUESTED PRICE DATA SEPARATELY BY CONTRACT YEAR IN SALES CATEGORY (2).

Country of origin: Russia			
Delivered Selling Price Data <input type="checkbox"/>		Delivered Import Price Data <input type="checkbox"/>	
Check (<input checked="" type="checkbox"/>) one			
Contract: ¹	Year: _____	Full period of all contracts: _____	
No. of contracts: _____		Total (maximum) quantity: _____	
Period of shipment	QUARTERLY SHIPMENTS		
	Total quantity	Total net delivered value	Weighted-average net unit delivered price ²
	<i>Kilograms of natural U</i>	<i>Dollars</i>	<i>Dollars/kg of natural U</i>
2003:			
January-March			
April-June			
July-September			
October-December			
2004:			
January-March			
April-June			
July-September			
October-December			
2005:			
January-March			
April-June			
July-September			
October-December			
¹ Specify where indicated (1) the <u>year</u> the group of contracts, for which shipment data are reported in this table, were negotiated, (2) the <u>full shipment period</u> encompassed by all such contracts (earliest beginning and latest ending shipment dates), (3) the total number of such contracts, and (4) the estimated total maximum quantity of these contracts ² Total net delivered value divided by total quantity. Note: The quantity is in kilograms of U contained in the natural UF ₆ .			

PART III.--PRICING AND MARKET FACTORS**Section III-B.--PRICES OF NATURAL UF₆ IMPORTED FROM RUSSIA--Continued**

III-B.4 ©) Sales/import Category (3): Contract sales/imports of subject imported natural UF₆ where prices are fixed or subject to escalator clauses specified in the contract.

COPY THIS TABLE AS NEEDED TO SHOW THE REQUESTED PRICE DATA SEPARATELY BY CONTRACT YEAR IN SALES/IMPORT CATEGORY (3).

Country of origin:	Russia		
Delivered Selling Price Data <input type="checkbox"/>		Delivered Import Price Data <input type="checkbox"/>	
Check (<input checked="" type="checkbox"/>) one			
Contract: ¹	Year: _____	Full period of all contracts: _____	
No. of contracts: _____		Total (maximum) quantity: _____	
Period of shipment	QUARTERLY SHIPMENTS		
	Total quantity	Total net delivered value	Weighted-average net unit delivered price ²
	<i>Kilograms of natural U</i>	<i>Dollars</i>	<i>Dollars/kg of natural U</i>
2000:			
January-March			
April-June			
July-September			
October-December			
2001:			
January-March			
April-June			
July-September			
October-December			
2002:			
January-March			
April-June			
July-September			
October-December			
¹ Specify where indicated (1) the <u>year</u> the group of contracts, for which shipment data are reported in this table, were negotiated, (2) the <u>full shipment period</u> encompassed by all such contracts (earliest beginning and latest ending shipment dates), (3) the total number of such contracts, and (4) the estimated total maximum quantity of these contracts. ² Total net delivered value divided by total quantity. Note: The quantity is in kilograms of U contained in the natural UF ₆ .			

PART III.--PRICING AND MARKET FACTORS**Section III-B.--PRICES OF NATURAL UF₆ IMPORTED FROM RUSSIA--Continued**

III-B.6	Future Selling/Import Price Information
	<p>Please discuss below, as appropriate, the three largest multi-year sales/purchase contracts involving your U.S.-imported natural UF₆ from each of the subject countries that was negotiated during 2000-05; report sales contract information if you sold the subject imported product to a U.S. customer and report purchase contract information if you imported the subject product for your own use. Include as a minimum the information requested below. <i>Attach additional pages of discussion as needed.</i> Report separately for each subject country and show the country name where indicated; <i>copy this page as needed.</i></p>
	Country of origin:
	Sold to U.S. customer <input type="checkbox"/> Imported for own use <input type="checkbox"/> (Check <input checked="" type="checkbox"/> one)
	If sold, the name and type of U.S. customer (electric utility, trader, etc.).
	The total contract quantity (in kilograms of U in the natural UF ₆) and any quantity flexibility provided for in the contract.
	The beginning and ending shipment dates of the full contract.
	A full and detailed description of the price provisions including any price floors, price ceilings, price escalator clauses, and any meet or release price provisions. In addition, identify and explain the factors considered by your firm and/or discussed with your customer in arriving at the contract's price provisions.
	Report below the total quantity and weighted-average price for shipments of natural UF ₆ , under this contract, that 1) occurred during 2000-05, and 2) are expected to occur during 2006-07.
	1) Contract shipments that occurred during 2000-05 (give the shipment date(s)).
	2) Contract shipments expected to occur during 2006-07.

PART III.--PRICING AND MARKET FACTORS**Section III-C.--PRICES OF LEU-HF IMPORTED FROM RUSSIA**

This section requests quarterly price and quantity data concerning your firm's U.S. sales or own-use of its imported LEU-HF from Russia during January 2000-December 2005. Report price data in this section for LEU-HF that your firm imported from Russia and either sold to U.S. customers unrelated to your firm or imported for its own use. Report the requested price data separately for each subject country and indicate the name of the country where indicated at the top of the table. In addition, report price data separately for the Russian LEU-HF that was blended-down from HEU in nuclear weapons and imported under the suspension agreements covering such uranium, and LEU-HF imported from Russia under other provisions of the agreement.

Prices.—Prices requested are **net of ALL discounts, allowances (including any FREIGHT ALLOWANCES), rebates, and any other deductions or premiums** and based on commercial quantities, not introductory offers. Express prices of the subject imported LEU-HF in dollars per kilogram (kg) of uranium (U) in the low-enriched UF₆.

Weighted-average net U.S. f.o.b. prices.—These are (1) **net U.S. f.o.b. (or equivalent delivery) selling prices** that you invoice your U.S. customers for your subject imported LEU-HF from your U.S. selling location; or (2) **net U.S. f.o.b. import prices** that are c.i.f., landed, duty-paid import prices at the U.S. port of entry for the LEU-HF that you imported for your own use. Do not include U.S.-inland delivery costs to U.S. fuel-rod assemblers in the selling or purchase prices. Weighted-average net U.S. f.o.b. prices are calculated by dividing total net f.o.b. sales/import values for a particular period by the total net sales/import quantity shipped to U.S. fuel-assembly fabricators in that period.

Quantities.—Quantities of the subject imported LEU-HF are requested net of returns and expressed in kgs of U in the LEU-HF; also report the number of SWUs (standard measure of enrichment services) required/contracted to produce these kilograms of enriched U. Also requested are the period-average U²³⁵ assays of the LEU-HF and the associated tails. If your firm uses pounds for the quantity of uranium in the LEU-HF, please convert to kilograms, at the rate of 0.45359 kilograms per pound, when reporting the pricing data.

Spot sales/import agreements.—An agreement, usually written, to supply LEU-HF on an immediate or near-term basis (within 12 months) from the time of order.

Contract sales/import agreements.—A written agreement to supply LEU-HF during a specified period of time, with deliveries of the LEU-HF scheduled into the first calendar year or beyond from the contract-signing year. The total amount of this product may be specified exactly or approximately. Prices MAY or MAY NOT be fixed.

IF FIXED, prices may be set at a specified level during the entire contract period, or may initially be set at some level but subject to increases at intervals and amounts specified in the contract.

IF NOT FIXED, prices are based on some agreed upon prevailing market price indicator at the time of delivery and—

- (a) may be subject to a contract requirement of a floor price (based on market prices or your costs), a ceiling price, a discount from the market price, or some combination of these, or
- (b) may not be subject to such contract price requirements, but subject to escalator clauses.

PART III.--PRICING AND MARKET FACTORS**Section III-C.--PRICES OF LEU-HF IMPORTED FROM RUSSIA--Continued**

Total shipments in each quarter.--Please report separately by subject country, in the tables beginning on page 31, **for each sales/import agreement category identified below** the quantity, total net f.o.b. (or equivalent delivery) value, and net U.S. f.o.b. weighted-average prices for the total **quarterly** shipments to U.S. fuel-assembly fabricators of your firm's subject imported LEU-HF that it either a) sold to U.S. customers (unrelated to your firm), or b) imported for your own use. SHIPMENTS REFER TO (a) YOUR IMPORTS THAT WERE PHYSICALLY DELIVERED AND/OR BOOK TRANSFERRED TO YOUR U.S. UTILITY CUSTOMERS, EITHER DIRECTLY UPON IMPORTATION OR FROM YOUR FIRM'S U.S. INVENTORIES OF ITS IMPORTED PRODUCTS, OR (b) YOUR FIRM'S IMPORTS THAT WERE FOR ITS OWN USE.

Show, where indicated at the top of the tables, whether the reported price data are U.S. f.o.b. selling or import price data (the latter only if imported for your own use). In addition, report the average quarterly product and tails assays and the number of SWUs required/contracted to produce the subject imported LEU-HF sold/imported. Also report the requested price data separately for multiyear-shipment contracts, in sales/import agreement categories (2) and (3), by the year the contracts were negotiated; combine requested data for all contracts negotiated in the same year and make copies of the appropriate tables to show the requested price data separately for each group of contracts negotiated in the same year. Show where requested in the tables, the contract year of the group of contracts for which production data are reported in the table, the full period encompassed by all such contracts, the total number of such contracts, and the estimated total maximum quantity of these contracts.

Sales/import agreement categories.--

- (1) combined spot sales/imports and those contract sales/imports of the subject imported LEU-HF where the prices are based on market prices at the time of shipment and the contracts DO NOT specify a price/cost-based floor or a price ceiling,
- (2) contract sales/imports of the subject imported LEU-HF where prices are based on market prices at the time of shipment but the contract specifies a price/cost-based floor, a price ceiling, a discount from the market price, or some combination of these,
- (3) contract sales/imports of the subject imported LEU-HF where prices are fixed or subject to escalator clauses specified in the contract.

PRODUCT DESCRIPTION:

Low-enriched uranium hexafluoride.--Uranium hexafluoride enriched in the U^{235} isotope, usually at a level from 3 to 5 percent enrichment but always less than 20 percent (defined on page 4 of the instruction booklet).

III-C.1	Please identify separately for each country, if known, the country(ies) where the LEU-HF your firm imported from Russia was enriched and the uranium concentrates used as inputs were mined.

PART III.--PRICING AND MARKET FACTORS**Section III-C.--PRICES OF LEU-HF IMPORTED FROM RUSSIA--Continued**

III-C.2 Show in the following tabulation BY CATEGORIES OF SALES/IMPORT AGREEMENTS the total quantity (in kgs of U as LEU-HF) of your firm's subject imported LEU-HF that was shipped (book transfers and physical movements) to U.S. fabricators during 2000-05 that it sold or imported for its own use (check ☒ one below). Report separately for each subject country and show the country of origin where indicated; *copy this table as needed*.

Country of origin:

☐
☐

Sold to U.S. customers, or

Imported for its own use.

Categories of sales/import agreements		(Kilograms of U in low-enriched UF ₆)
Spot <i>market</i> and those contract sales/imports based on <i>market</i> prices WITHOUT specified price/cost-based floors, price ceilings, or discounts from market price.		
Contract sales/imports based on <i>market</i> prices WITH specified price/cost-based floors, price ceilings, discounts from market prices, or some combination of these.		
Contract sales/imports specifying a <i>fixed</i> price or escalator clauses		
Other (specify)		

PART III.--PRICING AND MARKET FACTORS

III-C.3	<p>Does your firm import LEU-HF under a re-export program?</p> <p style="text-align: right;"> <input type="checkbox"/> YES <input type="checkbox"/> NO </p> <p>If YES, please discuss below by each country of origin of the LEU-HF (1) the products you exported during 2000-05 that contained (likely in some further processed form) the LEU-HF that you imported, (2) the import country(ies) of origin of the LEU-HF and, if different, the country(ies) where such products were enriched, (3) the quantity and quality, and the product and tails U²³⁵ assay of the imported LEU-HF, (4) the reason(s) why you imported this LEU-HF instead of purchasing U.S.-produced product. Include any other information that would be helpful in understanding your firm's uranium re-export activities and the impact it has on the U.S. uranium market. <i>Attach additional pages of discussion as needed.</i></p> <hr/> <p>For USEC, discuss how your firm determines a price for the LEU-HF or other low-enriched uranium product (blended-down from HEU) that it imports from Russia and how payment is made; also address how the U²³⁵ isotope assay and the SWUs of the blended-down LEU-HF or other such low-enriched uranium product are matched with the product assay and SWU requirements/contracts of your U.S. customers. In addition, discuss how such imports from Russia during 2000-05 have affected sales prices and quantities of U.S. producers of uranium concentrates and U.S. converters that toll-produced the natural UF₆ during this period. <i>Attach additional pages of discussion as needed.</i></p>
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PART III.--PRICING AND MARKET FACTORS**Section III-C.--PRICES OF LEU-HF IMPORTED FROM RUSSIA--Continued**

III-C.4	Report below the requested annual data for transactions where you sold your subject imported LEU-HF to U.S. customers during January 2000-December 2005 but their payment included (1) transfers of the customers' natural UF ₆ or concentrates to you as the feedstock portion of the Subject imported LEU-HF, and (2) a separate payment in dollars for the enrichment portion of the Subject imported LEU-HF. Report similarly if you imported the LEU-HF for your own use during this period but your payment consisted of a transfer of your natural UF ₆ or concentrates and a separate dollar payment for the enrichment portion of the subject imported LEU-HF. Report separately for each subject country and show the country of origin where indicated; <i>copy this table as needed.</i>						
	Country of origin:						
	Report for each year during 2000-05, total U.S. shipments of your subject imported LEU-HF (kgs of U in the LEU-HF) that you--						
		2000	2001	2002	2003	2004	2005
	Sold to U.S. customers						
	Imported for your own use						
	For the annual quantities of the subject imported LEU-HF you reported, show the following:						
	The average U ²³⁵ product assay						
	If known, the average U ²³⁵ tails assay						
	The total number of SWUs required						
	Also show, for the annual quantities of subject imported LEU-HF reported, the breakdown of the total payments consisting of the following:						
	(1) Transfers of natural UF ₆ for the feedstock portion, in kgs of U in the natural UF ₆ .						
	(2) Separate payments in U.S. dollars for the enrichment portion.						
	To the extent that additional factors associated with the information reported above require comment, discuss this information below. In addition, please discuss any other types of transactions involving the subject imported LEU-HF during 2000-05 that are not covered above and in the price tables on the following six pages.						

PART III.--PRICING AND MARKET FACTORS**Section III-C.--PRICES OF LEU-HF IMPORTED FROM RUSSIA--Continued**

III-C.5 (a) Sales/import Category (1): Combined spot sales/imports and those contract sales/imports of the subject imported LEU-HF where the prices are based on market prices at the time of shipment and the contracts DO NOT specify a price/cost-based floor, a price ceiling, or a discount from the market price.

(COPY TABLE AS NEEDED)

Country of origin: Russia		Under provision for LEU-HF blended down nuclear warhead HEU			<input type="checkbox"/>	Under other provisions		<input type="checkbox"/>
U.S. f.o.b. Selling Price Data <input type="checkbox"/>				U.S. f.o.b. Import Price Data <input type="checkbox"/>				
Check (✓) one above								
Period of shipment	QUARTERLY SHIPMENT (To U.S. Fuel-assembly fabricators)							
	Total quantity		Average assay		Total net U.S. f.o.b. value	Weighted-average net U.S. f.o.b. price ²		
			Prod.	Tails				
	<i>Kilograms of enriched U</i>	<i>Number of SWU's¹</i>	<i>Percent</i>		<i>Dollars</i>	<i>Dollars/kg of enriched U</i>	<i>Dollars/SWU</i>	
2000:								
January-March								
April-June								
July-September								
October-December								
2001:								
January-March								
April-June								
July-September								
October-December								
2002:								
January-March								
April-June								
July-September								
October-December								

¹ Separative work units; the standard measure of enrichment services.

² Total net U.S. f.o.b. (or equivalent delivery) value divided by total quantity.

PART III.--PRICING AND MARKET FACTORS**Section III-C.--PRICES OF LEU-HF IMPORTED FROM RUSSIA--Continued**

III-C.5 (a) Sales/import Category (1): Combined spot sales/imports and those contract sales/imports of the subject imported (cont.) LEU-HF where the prices are based on market prices at the time of shipment and the contracts DO NOT specify a price/cost-based floor, a price ceiling, or a discount from the market price.

(COPY TABLE AS NEEDED)

Country of origin: Russia	Under provision for LEU-HF blended down nuclear warhead HEU				<input type="checkbox"/>	Under other provisions	<input type="checkbox"/>
U.S. f.o.b. Selling Price Data <input type="checkbox"/>			U.S. f.o.b. Import Price Data <input type="checkbox"/>				
Check (✓) one above							
Period of shipment	QUARTERLY SHIPMENT (To U.S. Fuel-assembly fabricators)						
	Total quantity		Average assay		Total net U.S. f.o.b. value	Weighted-average net U.S. f.o.b. price ²	
			Prod.	Tails			
	<i>Kilograms of enriched U</i>	<i>Number of SWU's¹</i>	<i>Percent</i>		<i>Dollars</i>	<i>Dollars/kg of enriched U</i>	<i>Dollars/SWU</i>
2003:							
January-March							
April-June							
July-September							
October-December							
2004:							
January-March							
April-June							
July-September							
October-December							
2005:							
January-March							
April-June							
July-September							
October-December							

¹ Separative work units; the standard measure of enrichment services.
² Total net U.S. f.o.b. (or equivalent delivery) value divided by total quantity.

PART III.--PRICING AND MARKET FACTORS**Section III-C.--PRICES OF LEU-HF IMPORTED FROM RUSSIA--Continued**

III-C.5 (b) Sales/import Category (2): Contract sales/imports of the subject imported LEU-HF where prices are based on market prices at the time of shipment but the contract specifies a price/cost-based floor, a price ceiling, a discount from the market price, or some combination of these.

COPY THIS TABLE AS NEEDED TO SHOW THE REQUESTED PRICE DATA SEPARATELY

BY CONTRACT YEAR IN SALES/IMPORT CATEGORY (2).

Country of origin: Russia	Under Provision for LEU-HF blended down nuclear warhead HEU		<input type="checkbox"/>		Under other provisions		<input type="checkbox"/>
U.S. f.o.b. Selling Price Data <input type="checkbox"/>				U.S. f.o.b. Import Price Data <input type="checkbox"/>			
Check (✓) one above							
Contract:¹	Year: _____		Full period of all contracts: _____				
No. of contracts: _____		Total (maximum) quantity: _____					
Period of shipment	QUARTERLY SHIPMENTS (To U.S. Fuel-assembly fabricators)						
	Total quantity		Average assay		Total net U.S. f.o.b. value	Weighted-average net U.S. f.o.b. price ³	
			Prod.	Tails			
	<i>Kilograms of enriched U</i>	<i>Number of SWU's²</i>	<i>Percent</i>		<i>Dollars</i>	<i>Dollars/kg of enriched U</i>	<i>Dollars/SWU</i>
2000:							
January-March							
April-June							
July-September							
October-December							
2001:							
January-March							
April-June							
July-September							
October-December							
2002:							
January-March							
April-June							
July-September							
October-December							

¹ Specify where indicated (1) the year the group of contracts, for which production data are reported in this table, were negotiated, (2) the full period encompassed by all such contracts (earliest beginning and latest ending production dates), (3) the total number of such contracts, and (4) the estimated total maximum quantity of these contracts.

² Separative work units; the standard measure of enrichment services.

³ Total net U.S. f.o.b. (or equivalent delivery) value divided by total quantity.

PART III.--PRICING AND MARKET FACTORS**Section III-C.--PRICES OF LEU-HF IMPORTED FROM RUSSIA--Continued**

III-C.5 (b) Sales/import Category (2): Contract sales/imports of the subject imported LEU-HF where prices are based on market prices at the time of shipment but the contract specifies a price/cost-based floor, a price ceiling, a discount from the market price, or some combination of these.

**COPY THIS TABLE AS NEEDED TO SHOW THE REQUESTED PRICE DATA SEPARATELY
BY CONTRACT YEAR IN SALES/IMPORT CATEGORY (2).**

Country of origin: Russia	Under Provision for LEU-HF blended down nuclear warhead HEU		<input type="checkbox"/>		Under other provisions		<input type="checkbox"/>	
U.S. f.o.b. Selling Price Data <input type="checkbox"/>				U.S. f.o.b. Import Price Data <input type="checkbox"/>				
Check (<input checked="" type="checkbox"/>) one above								
Contract:¹	Year: _____		Full period of all contracts: _____					
No. of contracts: _____			Total (maximum) quantity: _____					
Period of shipment	QUARTERLY SHIPMENTS (To U.S. Fuel-assembly fabricators)							
	Total quantity		Average assay		Total net U.S. f.o.b. value	Weighted-average net U.S. f.o.b. price ³		
			Prod.	Tails				
	<i>Kilograms of enriched U</i>	<i>Number of SWU's²</i>	<i>Percent</i>		<i>Dollars</i>	<i>Dollars/kg of enriched U</i>	<i>Dollars/SWU</i>	
2003:								
January-March								
April-June								
July-September								
October-December								
2004:								
January-March								
April-June								
July-September								
October-December								
2005:								
January-March								
April-June								
July-September								
October-December								

¹ Specify where indicated (1) the year the group of contracts, for which production data are reported in this table, were negotiated, (2) the full period encompassed by all such contracts (earliest beginning and latest ending production dates), (3) the total number of such contracts, and (4) the estimated total maximum quantity of these contracts.

² Separative work units; the standard measure of enrichment services.

³ Total net U.S. f.o.b. (or equivalent delivery) value divided by total quantity.

PART III.--PRICING AND MARKET FACTORS**Section III-C.--PRICES OF LEU-HF IMPORTED FROM Russia--Continued**

III-C.5 (c) Sales/import Category (3): Contract sales/imports of subject imported LEU-HF where prices are fixed or subject to escalator clauses specified in the contract.

**COPY THIS TABLE AS NEEDED TO SHOW THE REQUESTED PRICE DATA SEPARATELY
BY CONTRACT YEAR IN SALES/IMPORT CATEGORY (3).**

Country of origin:	Russia	Under provision for LEU-HF blended down nuclear warhead HEU	<input type="checkbox"/>	Under other provisions	<input type="checkbox"/>	
U.S. f.o.b. Selling Price Data		<input type="checkbox"/>	U.S. f.o.b. Import Price Data		<input type="checkbox"/>	
Check (✓) one above						
Contract: ¹	Year:	Full period of all contracts:				
No. of contracts:		Total (maximum) quantity:				
Period of shipment	QUARTERLY SHIPMENTS (To U.S. Fuel-assembly fabricators)					
	Total quantity		Average assay		Total net U.S. f.o.b. value	Weighted-average net net U.S. f.o.b. price ³
			Prod.	Tails		
	<i>Kilograms of enriched U</i>	<i>Number of SWU's²</i>	<i>Percent</i>		<i>Dollars</i>	<i>Dollars/kg of enriched U</i>
2000:						
January-March						
April-June						
July-September						
October-December						
2001:						
January-March						
April-June						
July-September						
October-December						
2002:						
January-March						
April-June						
July-September						
October-December						

¹ Specify where indicated (1) the year the group of contracts, for which production data are reported in this table, were negotiated, (2) the full period encompassed by all such contracts (earliest beginning and latest ending production dates), (3) the total number of such contracts, and (4) the estimated total maximum quantity of these contracts.

² Separative work units; the standard measure of enrichment services.

³ Total net f.o.b. (or equivalent delivery) value divided by total quantity.

PART III.--PRICING AND MARKET FACTORS**Section III-C.--PRICES OF LEU-HF IMPORTED FROM Russia--Continued**

III-C.5 (c) Sales/import Category (3): Contract sales/imports of subject imported LEU-HF where prices are fixed or subject to escalator clauses specified in the contract.

**COPY THIS TABLE AS NEEDED TO SHOW THE REQUESTED PRICE DATA SEPARATELY
BY CONTRACT YEAR IN SALES/IMPORT CATEGORY (3).**

Country of origin:	Russia	Under provision for LEU-HF blended down nuclear warhead HEU	<input type="checkbox"/>	Under other provisions	<input type="checkbox"/>	
U.S. f.o.b. Selling Price Data		<input type="checkbox"/>	U.S. f.o.b. Import Price Data		<input type="checkbox"/>	
Check (✓) one above						
Contract: ¹	Year:	Full period of all contracts:				
No. of contracts:		Total (maximum) quantity:				
Period of shipment	QUARTERLY SHIPMENTS (To U.S. Fuel-assembly fabricators)					
	Total quantity		Average assay		Total net U.S. f.o.b. value	Weighted-average net net U.S. f.o.b. price ³
			Prod.	Tails		
	<i>Kilograms of enriched U</i>	<i>Number of SWU's²</i>	<i>Percent</i>		<i>Dollars</i>	<i>Dollars/kg of enriched U</i>
2003:						
January-March						
April-June						
July-September						
October-December						
2004:						
January-March						
April-June						
July-September						
October-December						
2005:						
January-March						
April-June						
July-September						
October-December						

¹ Specify where indicated (1) the year the group of contracts, for which production data are reported in this table, were negotiated, (2) the full period encompassed by all such contracts (earliest beginning and latest ending production dates), (3) the total number of such contracts, and (4) the estimated total maximum quantity of these contracts.

² Separative work units; the standard measure of enrichment services.

³ Total net f.o.b. (or equivalent delivery) value divided by total quantity.

PART III.--PRICING AND MARKET FACTORS**Section III-C.--PRICES OF LEU-HF IMPORTED FROM RUSSIA--Continued**

III-C.6	Future Selling/Import Price Information
	<p>Please discuss below, as appropriate, the three largest multi-year sales/purchase contracts involving your U.S.-imported LEU-HF from each of the subject countries that was negotiated during 2000-05; report sale contract information if you sold the subject imported product to a U.S. customer and report purchase contract information if you imported the subject product for your own use. Include as a minimum the information requested below. <i>Attach additional pages of discussion as needed. Report separately for each subject country and show the country name where indicated; copy this page as needed.</i></p>
	Country of origin:
	<p>Sold to U.S. customer <input type="checkbox"/> Imported for own use <input type="checkbox"/> (Check <input checked="" type="checkbox"/> one)</p>
	If sold, the name and type of U.S. customer (electric utility, trader, etc.).
	<p>The total contract quantity (in kgs of U in the LEU-HF and or SWUs) and any quantity flexibility provided for in the contract.</p>
	<p>The beginning and ending shipment (as called for in the contract) dates of the full contract.</p>
	<p>A full and detailed description of the price provisions including any price floors, price ceilings, price escalator clauses, and any meet or release price provisions. In addition, identify and explain the factors considered by your firm and/or discussed with your customer in arriving at the contract's price provisions.</p>
	<p>Report below the total quantity and weighted-average price for shipments of LEU-HF, under this contract, that 1) occurred during 2000-05, and 2) are expected to occur during 2006-07.</p>
	<p>1) Contract shipments that occurred during 2000-05 (give the shipment date(s)).</p>
	<p>2) Contract shipments expected to occur during 2006-07.</p>

PART III.--PRICING AND MARKET FACTORS--Continued

Section III-D.--PRICES OF LEU-DO IMPORTED FROM RUSSIA

This section requests quarterly price and quantity data concerning your firm's U.S. sales or own-use of its imported LEU-DO from Russia during January 2000-December 2005. Report price data in this section for LEU-DO that your firm imported from Russia and either sold to U.S. customers unrelated to your firm or imported for its own use. Report the requested price data separately for each subject country and show the name of the country where indicated at the top of table.

Prices.—Prices requested are **net of ALL discounts, allowances (including any FREIGHT ALLOWANCES), rebates, and any other deductions or premiums** and based on commercial quantities, not introductory offers. Express prices of the subject imported LEU-DO in dollars per kilogram (kg) of uranium (U) in the LEU-DO.

Weighted-average net U.S. delivered prices.—These are (1) **net U.S. delivered selling prices** that you invoice your U.S. customers for your subject imported LEU-DO and for delivery of the product to U.S. fuel-assembly fabricators specified by these customers; or (2) **net U.S. delivered import prices** that are c.i.f., landed, duty-paid import prices plus U.S.-inland freight to your designated U.S. location for the LEU-DO that you imported for your own use. Weighted-average net U.S. delivered prices are calculated by dividing total net delivered sales/import values for a particular period by the total net sales/import quantity shipped in that period.

Quantities.—Quantities of the subject imported LEU-DO are requested net of returns and expressed in kgs of U in the LEU-DO; also report the number of SWUs required/contracted to produce these kilograms of enriched U. Also requested are the period-average U²³⁵ assays of the enriched U and the tails associated with this enriched U. If your firm uses pounds for the quantity of uranium in the LEU-DO, please convert to kilograms, at the rate of 0.45359 kilograms per pound, when reporting the pricing data.

Spot sales/import agreements.—An agreement, usually written, to supply LEU-DO on an immediate or near-term basis (within 12 months) from the time of order.

Contract sales/import agreements.—A written agreement to supply LEU-DO during a specified period of time, with deliveries of the LEU-DO scheduled into the first calendar year or beyond from the contract-signing year. The total amount of this product may be specified exactly or approximately. Prices MAY or MAY NOT be fixed.

IF FIXED, prices may be set at a specified level during the entire contract period, or may initially be set at some level but subject to increases at intervals and amounts specified in the contract.

IF NOT FIXED, prices are based on some agreed upon prevailing market price indicator at the time of delivery and—

- (a) may be subject to a contract requirement of a floor price (based on market prices or your costs), a ceiling price, a discount from the market price, or some combination of these, or
- (b) may not be subject to such contract price requirements.

PART III.--PRICING AND MARKET FACTORS--Continued**Section III-D.--PRICES OF LEU-DO IMPORTED FROM RUSSIA--Continued**

Total shipments in each quarter.--Please report separately, by subject country, in the tables beginning on page 40, **for each sales/import agreement category identified below** the quantity, total net delivered value, and net U.S. delivered weighted-average prices for your firm's total **quarterly** shipments of its subject imported LEU-DO that it either a) sold to U.S. customers (unrelated to your firm) and shipped to U.S. fuel-assembly fabricators specified by these customers, or b) imported for your own use and shipped to your designated U.S. location. SHIPMENTS REFER TO (a) YOUR IMPORTS THAT WERE PHYSICALLY DELIVERED AND/OR BOOK TRANSFERRED TO YOUR U.S. UTILITY CUSTOMERS, EITHER DIRECTLY UPON IMPORTATION OR FROM YOUR FIRM'S U.S. INVENTORIES OF ITS IMPORTED PRODUCTS, OR (b) YOUR FIRM'S IMPORTS THAT WERE FOR ITS OWN USE.

Show, where indicated at the top of the tables, whether the reported price data are U.S. delivered selling or import price data (the latter only if imported for your own use). In addition, report the average quarterly product and tails assays and the number of SWUs required/contracted to produce the Subject imported LEU-DO sold/imported. Also report the requested price data separately for multiyear-shipment contracts, in sales/import agreement categories (2) and (3), by the year the contracts were negotiated; combine requested data for all contracts negotiated in the same year and make copies of the appropriate tables to show the requested price data separately for each group of contracts negotiated in the same year. Show where requested in the tables, the contract year of the group of contracts for which production data are reported in the table, the full period encompassed by all such contracts, the total number of such contracts, and the estimated total maximum quantity of these contracts.

Sales/import agreement categories.--

- (1) combined spot sales/imports and those contract sales/imports of the subject imported LEU-DO where the prices are based on market prices at the time of shipment and the contracts DO NOT specify a price/cost-based floor or a price ceiling,
- (2) contract sales/imports of the subject imported LEU-DO where prices are based on market prices at the time of shipment but the contract specifies a price/cost-based floor, a price ceiling, a discount from the market price, or some combination of these,
- (3) contract sales/imports of the subject imported LEU-DO where prices are fixed or subject to escalator clauses specified in the contract.

PRODUCT DESCRIPTION:

Low-enriched uranium dioxide.--Uranium hexafluoride enriched in the U^{235} isotope, usually at a level from 3 to 5 percent enrichment but always less than 20 percent, and then converted to low-enriched uranium dioxide (defined on page 4 of the instruction booklet).

III-D.1	Please identify separately for each country, if known, the country(ies) where the LEU-DO your firm imported from Russia was/were first enriched into LEU-HF, then where it was converted into the LEU-DO compound, and finally where the uranium concentrates used as inputs were mined.

PART III.--PRICING AND MARKET FACTORS--Continued**Section III-D.--PRICES OF LEU-DO IMPORTED FROM RUSSIA--Continued**

III-D.2 Show in the following tabulation BY CATEGORIES OF SALES/IMPORT AGREEMENTS the total quantity (in kgs of U as LEU-DO) of your firm's U.S. shipments (book transfers and physical movements) of its subject imported LEU-DO during 2000-05 that it sold or imported for its own use (check ☒ one below). Report separately for each subject country and show the country of origin where indicated; *copy this table as needed*.

Country of origin:

☐
☐

Sold to U.S. customers, or

Imported for its own use.

Categories of sales/import agreements		(Kilograms of U in low-enriched UO ₂)
Spot <i>market</i> and those contract sales/imports based on <i>market</i> prices WITHOUT specified price/cost-based floors, price ceilings, or discounts from market price.		
Contract sales/imports based on <i>market</i> prices WITH specified price/cost-based floors, price ceilings, discounts from market prices, or some combination of these.		
Contract sales/imports specifying a <i>fixed</i> price or escalator clauses		
Other (specify)		

Section III-D.—PRICES OF LEU-DO IMPORTED FROM RUSSIA—Continued[illegible]

PART III.--PRICING AND MARKET FACTORS--Continued**Section III-D.--PRICES OF LEU-DO IMPORTED FROM RUSSIA--Continued**

III-D.4	Report below the requested annual data for transactions where you sold your subject imported LEU-DO to U.S. customers during January 2000-December 2005 but their payment included (1) transfers of their LEU-HF to you as the feedstock portion of the Subject imported LEU-DO, and (2) a separate payment in dollars for the enrichment and for the UO_2 conversion portions of the subject imported LEU-DO. Report similarly if you imported the LEU-DO for your own use during this period but your payment consisted of a transfer of your natural UF_6 and a separate dollar payment for the enrichment and for the UO_2 conversion portions of the subject imported LEU-DO. Report separately for each subject country and show the country of origin where indicated; copy this table as needed.						
	Country of origin:						
	Report for each year during 2000-05, total U.S. shipments of your subject imported LEU-DO (kgs of U in the LEU-DO) that you--						
		2000	2001	2002	2003	2004	2005
	Sold to U.S. customers						
	Imported for your own use						
	For the annual quantities of the Subject imported LEU-DO you reported, show the following:						
	The average U^{235} product assay						
	If known, the average U^{235} tails assay						
	The total number of SWUs required						
	Also show, for the annual quantities of Subject imported LEU-DO reported, the breakdown of the total payments consisting of the following:						
	(1) Transfers of natural UF_6 for the feedstock portion, in kgs of U in the natural UF_6 .						
	(2) Separate payments in U.S. dollars for the enrichment and for the UO_2 conversion portions.						
	To the extent that additional factors associated with the information reported above require comment, discuss this information below. In addition, please discuss any other types of transactions involving the subject imported LEU-DO during 2000-05 that were not covered above and in the price tables on the following three pages.						

PART III.--PRICING AND MARKET FACTORS--Continued**Section III-D.--PRICES OF LEU-DO IMPORTED FROM RUSSIA--Continued**

III-D.5 (a) Sales/import Category (1): Combined spot sales/imports and those contract sales/imports of the subject imported LEU-DO where the prices are based on market prices at the time of shipment and the contracts DO NOT specify a price/cost-based floor, a price ceiling, or a discount from the market price.

(COPY THE TABLE AS NEEDED)

Country of origin: Russia						
Delivered Selling Price Data <input type="checkbox"/>				Delivered Import Price Data <input type="checkbox"/>		
Check (✓) one						
Period of shipment	QUARTERLY SHIPMENT					
	Total quantity		Average		Total net delivered value	Weighted-average net delivered price ²
			Prod.	Tail		
	<i>Kilograms of enriched U</i>	<i>Number of SWU's¹</i>	<i>Percent</i>		<i>Dollars</i>	<i>Dollars/kg of enriched U</i>
2000:						
January-March						
April-June						
July-September						
October-December						
2001:						
January-March						
April-June						
July-September						
October-December						
2002:						
January-March						
April-June						
July-September						
October-December						
¹ Separative work units; the standard measure of enrichment services. ² Total net delivered value divided by total quantity.						

PART III.--PRICING AND MARKET FACTORS--Continued**Section III-D.--PRICES OF LEU-DO IMPORTED FROM RUSSIA--Continued**

III-D.5 (a) Sales/import Category (1): Combined spot sales/imports and those contract sales/imports of the subject imported
(cont.) LEU-DO where the prices are based on market prices at the time of shipment and the contracts DO NOT specify
a price/cost-based floor, a price ceiling, or a discount from the market price.

(COPY THE TABLE AS NEEDED)

Country of origin: Russia						
Delivered Selling Price Data <input type="checkbox"/>				Delivered Import Price Data <input type="checkbox"/>		
Check (✓) one						
Period of shipment	QUARTERLY SHIPMENT					
	Total quantity		Average		Total net delivered value	Weighted-average net delivered price ²
			Prod.	Tail		
	<i>Kilograms of enriched U</i>	<i>Number of SWU's¹</i>	<i>Percent</i>		<i>Dollars</i>	<i>Dollars/kg of enriched U</i>
2003:						
January-March						
April-June						
July-September						
October-December						
2004:						
January-March						
April-June						
July-September						
October-December						
2005:						
January-March						
April-June						
July-September						
October-December						
¹ Separative work units; the standard measure of enrichment services. ² Total net delivered value divided by total quantity.						

PART III.--PRICING AND MARKET FACTORS--Continued**Section III-D.--PRICES OF LEU-DO IMPORTED FROM Russia--Continued**

III-D.5 (b) Sales/import Category (2): Contract sales/imports of the subject imported LEU-DO where prices are based on market prices at the time of shipment but the contract specifies a price/cost-based floor, a price fee ceiling, a discount from the market price, or some combination of these.

**COPY THIS TABLE AS NEEDED TO SHOW THE REQUESTED PRICE DATA SEPARATELY
BY CONTRACT YEAR IN SALES/IMPORT AGREEMENT CATEGORY (2).**

Country of origin: Russia						
Delivered Selling Price Data <input type="checkbox"/>				Delivered Import Price Data <input type="checkbox"/>		
Check (<input checked="" type="checkbox"/>) one						
Contract:¹	Year: _____		Full period of all contracts: _____			
No. of contracts: _____		Total (maximum) quantity: _____				
Period of shipment	QUARTERLY SHIPMENT					
	Total quantity		Average		Total net delivered value	Weighted-average net delivered price ³
			Prod.	Tail		
	<i>Kilograms of enriched U</i>	<i>Number of SWU's²</i>	<i>Percent</i>		<i>Dollars</i>	<i>Dollars/kg of enriched U</i>
2000:						
January-March						
April-June						
July-September						
October-December						
2001:						
January-March						
April-June						
July-September						
October-December						
2002:						
January-March						
April-June						
July-September						
October-December						

¹ Specify where indicated (1) the year the group of contracts, for which shipment data are reported in this table, were negotiated, (2) the full period encompassed by all such contracts (earliest beginning and latest ending shipment dates), (3) the total number of such contracts, and (4) the estimated total maximum quantity of these contracts.

² Separative work units; the standard measure of enrichment services.

³ Total net delivered value divided by total quantity.

PART III.--PRICING AND MARKET FACTORS--Continued**Section III-D.--PRICES OF LEU-DO IMPORTED FROM RUSSIA--Continued**

III-D.5 (b) (cont.) Sales/import Category (2): Contract sales/imports of the subject imported LEU-DO where prices are based on market prices at the time of shipment but the contract specifies a price/cost-based floor, a price fee ceiling, a discount from the market price, or some combination of these.

**COPY THIS TABLE AS NEEDED TO SHOW THE REQUESTED PRICE DATA SEPARATELY
BY CONTRACT YEAR IN SALES/IMPORT AGREEMENT CATEGORY (2).**

Country of origin: Russia						
Delivered Selling Price Data <input type="checkbox"/>				Delivered Import Price Data <input type="checkbox"/>		
Check (<input checked="" type="checkbox"/>) one						
Contract:¹	Year: _____		Full period of all contracts: _____			
No. of contracts: _____		Total (maximum) quantity: _____				
Period of shipment	QUARTERLY SHIPMENT					
	Total quantity		Average		Total net delivered value	Weighted-average net delivered price ³
			Prod.	Tail		
	<i>Kilograms of enriched U</i>	<i>Number of SWU's²</i>	<i>Percent</i>		<i>Dollars</i>	<i>Dollars/kg of enriched U</i>
2003:						
January-March						
April-June						
July-September						
October-December						
2004:						
January-March						
April-June						
July-September						
October-December						
2005:						
January-March						
April-June						
July-September						
October-December						

¹ Specify where indicated (1) the year the group of contracts, for which shipment data are reported in this table, were negotiated, (2) the full period encompassed by all such contracts (earliest beginning and latest ending shipment dates), (3) the total number of such contracts, and (4) the estimated total maximum quantity of these contracts.

² Separative work units; the standard measure of enrichment services.

³ Total net delivered value divided by total quantity.

PART III.--PRICING AND MARKET FACTORS--Continued**Section III-D.--PRICES OF LEU-DO IMPORTED FROM RUSSIA--Continued**

III-D.5 ©) Sales/import Category (3): Contract sales/imports of the subject imported LEU-DO where prices are fixed or subject to escalator clauses specified in the contract.

**COPY THIS TABLE AS NEEDED TO SHOW THE REQUESTED PRICE DATA SEPARATELY
BY CONTRACT YEAR IN SALES/IMPORT AGREEMENT CATEGORY (3).**

Country of origin: Russia						
Delivered Selling Price Data <input type="checkbox"/>				Delivered Import Price Data <input type="checkbox"/>		
Check (✓) one						
Contract:¹	Year: _____		Full period of all contracts: _____			
No. of contracts: _____		Total (maximum) quantity: _____				
Period of shipment	QUARTERLY SHIPMENT					
	Total quantity		Average		Total net delivered value	Weighted-average net delivered price ³
			Prod.	Tail		
	<i>Kilograms of enriched U</i>	<i>Number of SWU's²</i>	<i>Percent</i>		<i>Dollars</i>	<i>Dollars/kg of enriched U</i>
2000:						
January-March						
April-June						
July-September						
October-December						
2001:						
January-March						
April-June						
July-September						
October-December						
2002:						
January-March						
April-June						
July-September						
October-December						

¹ Specify where indicated (1) the year the group of contracts, for which shipment data are reported in this table, were negotiated, (2) the full period encompassed by all such contracts (earliest beginning and latest ending shipment dates), (3) the total number of such contracts, and (4) the estimated total maximum quantity of these contracts.

² Separative work units; the standard measure of enrichment services.

³ Total net delivered value divided by total quantity.

PART III.--PRICING AND MARKET FACTORS--Continued**Section III-D.--PRICES OF LEU-DO IMPORTED FROM RUSSIA--Continued**

III-D.5 ©) Sales/import Category (3): Contract sales/imports of the subject imported LEU-DO where prices are fixed or (cont.) subject to escalator clauses specified in the contract.

**COPY THIS TABLE AS NEEDED TO SHOW THE REQUESTED PRICE DATA SEPARATELY
BY CONTRACT YEAR IN SALES/IMPORT AGREEMENT CATEGORY (3).**

Country of origin: Russia						
Delivered Selling Price Data <input type="checkbox"/>				Delivered Import Price Data <input type="checkbox"/>		
Check (✓) one						
Contract:¹	Year: _____		Full period of all contracts: _____			
No. of contracts: _____		Total (maximum) quantity: _____				
Period of shipment	QUARTERLY SHIPMENT					
	Total quantity		Average		Total net delivered value	Weighted-average net delivered price ³
			Prod.	Tail		
	<i>Kilograms of enriched U</i>	<i>Number of SWU's²</i>	<i>Percent</i>		<i>Dollars</i>	<i>Dollars/kg of enriched U</i>
2003:						
January-March						
April-June						
July-September						
October-December						
2004:						
January-March						
April-June						
July-September						
October-December						
2005:						
January-March						
April-June						
July-September						
October-December						

¹ Specify where indicated (1) the year the group of contracts, for which shipment data are reported in this table, were negotiated, (2) the full period encompassed by all such contracts (earliest beginning and latest ending shipment dates), (3) the total number of such contracts, and (4) the estimated total maximum quantity of these contracts.

² Separative work units; the standard measure of enrichment services.

³ Total net delivered value divided by total quantity.

PART III.--PRICING AND MARKET FACTORS--Continued**Section III-D.--PRICES OF LEU-DO IMPORTED FROM RUSSIA--Continued**

III-D.7	Future Selling/Import Price Information
	<p>Please discuss below, as appropriate, the three largest multi-year sales/purchase contracts involving your U.S.-imported LEU-DO from each of the subject countries that was negotiated during 2000-05; report sale contract information if you sold the subject imported product to a U.S. customer and report purchase contract information if you imported the subject product for your own use. Include as a minimum the information requested below. <i>Attach additional pages of discussion as needed. Report separately for each subject country and show the country name where indicated; copy this page as needed.</i></p>
	Country of origin:
	<p>Sold to U.S. customer <input type="checkbox"/> Imported for own use <input type="checkbox"/> (Check <input checked="" type="checkbox"/> one)</p>
	If sold, the name and type of U.S. customer (electric utility, trader, etc.).
	<p>The total contract quantity (in kilograms of U in the LEU-DO and or SWUs) and any quantity flexibility provided for in the contract.</p>
	<p>The beginning and ending shipment (as called for in the contract) dates of the full contract.</p>
	<p>A full and detailed description of the price provisions including any price floors, price ceilings, price escalator clauses, and any meet or release price provisions. In addition, identify and explain the factors considered by your firm and/or discussed with your customer in arriving at the contract's price provisions.</p>
	<p>Report below the total quantity and weighted-average price for shipments under this contract, that 1) occurred during 2000-05, and 2) are expected to occur during 2006-07.</p>
	<p>1) Contract shipments that occurred during 2000-05 (give the shipment date(s)).</p>
	<p>2) Contract shipments expected to occur during 2006-07.</p>

PART III.--PRICING AND MARKET FACTORS--Continued

Unless otherwise requested, please answer all questions in the rest of Part III based on your firm's total U.S. imports of the uranium products/services from Russia during January 2000-December 2005. If your response differs by type of imported uranium product/service, by country of origin, by type of sales/import agreement (as identified in the price tables), or by type of customer please explain in the space provided, or attach a separate explanation with the question number, or copy the page or question for each difference for which you are reporting. *Note: Unless otherwise specified, shipments or imports refer to book transfers and physical movements of the uranium products/ services. Please respond fully to the questions asked and attach additional pages of discussion as needed; identify separate responses with the question number. Uranium products/services include (1) uranium concentrates, (2) natural UF_6 and the conversion service to toll produce natural UF_6 , (3) EUP-HF and the enrichment service to toll produce LEU-HF, (4) the conversion service to toll produce LEU-DO (may include some enrichment adjustment), (5) the pelletizing service to toll produce LEU-P, (6) HEU, and (7) uranium tails depleted in U^{235} .*

Check (✓) whether you imported uranium products/services for resale to U.S. customers (typically U.S. customers) or for your own use.

Import for resale ☐

Import for own use ☐

PLEASE NOTE: IF YOUR FIRM IMPORTS THE SUBJECT IMPORTED URANIUM PRODUCT(S)/SERVICES ONLY FOR ITS OWN USE, ANSWER ONLY THOSE QUESTIONS WITH A STAR ★ PRECEDING THE QUESTION NUMBER.

Any reference to *quantities* of the imported uranium products/services in the following questions are based on quantity units cited in the price tables in sections III-A through III-D for each type of uranium product/service.

Section III-E.--PRICING PRACTICES

III-E.1 Identify and explain below the factors discussed between your firm and its U.S. customers and/or factors that you considered in arriving at a **SPOT** price for your subject imported uranium product(s)/services during 2000-05. Include in your discussion the role of published uranium prices (identify any such price publications), including any price lists issued by your firm. If your firm issues price lists, please include a copy of your most recent price list with your submission.

PART III--PRICING AND MARKET FACTORS--Continued**Section III-E.--PRICING PRACTICES--Continued**

III-E.2 Please discuss the following principal details of your sales contracts on a typical **multi-year contract** involving your subject imported uranium products/services. If your answers vary by type of uranium product or service, please respond for each separately.

What is the average duration of a contract?

How far in advance is a contract negotiated prior to its start?

How frequently are contracts renegotiated?

Does the contract fix quantity, price, or both?

Does the contract have a meet or release provision?

Has the use of price ceilings/floors changed since January 1, 2000? If so, how?

Identify and explain below the typical factors discussed between your firm and its U.S. customers and/or factors that you consider in arriving at a price for **typical multi-year contracts**. Include in your discussion the role of published uranium prices (identify any such price publications), including any price lists issued by your firm. If your firm issues price lists, please include a copy of your most recent price list with your submission.

III-E.3 What payment terms does your firm TYPICALLY offer when selling its subject imported uranium product(s)? (e.g., 2/10 net 30 days, net 30 days, net 45 days, etc.)

Check how your sales price was typically quoted during 2000-05.

F.o.b. your U.S. location—

☐

Delivered to a specified U.S. location —

☐

III-E.4 Discuss in detail below your firm's discount policy, including its requirements to qualify for discounts, and the schedule of any volume discounts for its subject imported uranium products/services.

PART III--PRICING AND MARKET FACTORS--Continued

Section III-F.--FACTORS AFFECTING PRICING

<p>★ III-F.1</p>	<p>Has the use of swaps increased, decreased or remained the same in the Uranium market since January 1, 2000? If there has been a change, please describe the effect it has had. Please include information for executed contracts and contracts entered into for delivery in 2006-07.</p> <hr/> <hr/> <hr/> <hr/> <hr/> <hr/> <hr/> <hr/> <hr/> <hr/>
<p>★ III-F.2</p>	<p>Has the use of loans increased, decreased or remained the same in the Uranium market since January 1, 2000? If there has been a change, please describe the effect it has had. Please include information for executed contracts and contracts entered into for delivery in 2006-07.</p> <hr/> <hr/> <hr/> <hr/> <hr/> <hr/> <hr/> <hr/> <hr/> <hr/>

PART III--PRICING AND MARKET FACTORS--Continued

Section III-F.--FACTORS AFFECTING PRICING--Continued

III-F.3 Please indicate below where your firm typically holds U.S. inventories of its imported uranium from Russia, e.g., at converters' locations, enrichers' locations, etc. Also explain how much of a factor your firm's inventory holding costs are in its selling prices of Russia uranium.

III-F.4 Please discuss the extent to which delivery lead times are important when your firm offers spot market sales of its subject imported uranium products/services to U.S. customers. In particular, address whether your imported uranium products generally are available with longer lead times than the U.S.-produced products when competing in the spot market. Please take into consideration in your discussion any U.S. inventories of the imported products that may be available. Have average lead times changed since January 1, 2000? If so, to what extent?

PART III--PRICING AND MARKET FACTORS--Continued

III-F.5

Did individual U.S. producers, importers, or foreign producers/exporters of uranium products/services influence the U.S. market price of these products during 2000-05 (discuss separately prices of uranium products/services during this period and during 2006-07, where the latter were negotiated during 2000-05)?

11

YES

11

NO

If yes, please identify any such individual supplying firm(s), the specific uranium products/ services, and the country of origin, and, for enriched uranium, the country of origin of the enrichment services, if different from the country of export; then discuss:

- (1) specific time periods during 2000-05 when the firm influenced the U.S. market price, and
- (2) whether the effect was to lower or raise the market price

This image shows a single sheet of white paper with horizontal ruling lines. The lines are evenly spaced and run across the width of the page. There are no margins, text, or other markings on the paper.

PART III--PRICING AND MARKET FACTORS--Continued

Section III-G.--SUPPLY FACTORS



III-G.1

Have any significant changes occurred in the product range or marketing of uranium products/services in the United States since January 1, 2000?

☐

YES

☐

NO

If yes, please describe below any such changes, the factors (including possibly the suspension agreements) that were responsible for each change, the time periods such changes occurred, and the impact such changes had on your shipments and prices of your subject imported uranium products/services. Identify the specific uranium products and countries of origin. *Attach additional pages of discussion as needed.*

Please discuss fully, to the extent possible, any changes that you anticipate in the future in the product range or marketing of uranium products/services in the United States. Identify the specific future time period(s) involved and discuss the factor(s) that you believe would be responsible for any such changes. Identify the specific uranium products and countries of origin that you discuss. Provide any underlying assumptions, along with relevant portions of business plans or other supporting documentation, that address this issue *Attach additional pages of discussion as needed.*

Please explain fully whether inventories of uranium held by USEC have had any effect on current supply conditions in the U.S. market during 2000-05, and/or whether any such effects are likely to occur in the future. *Attach additional pages of discussion as needed.*

PART III--PRICING AND MARKET FACTORS--Continued**Section III-G.--SUPPLY FACTORS--Continued****III-G.2**

Please identify any supply factor(s) (including, but not limited to, any changes in availability or prices of raw materials, energy, labor, or other production costs, changes in transportation conditions, in production capacity, in export markets, DOE uranium inventories inherited by USEC, and in alternative production opportunities) that affected the **availability and/or competitiveness** of the subject imported uranium products/services to the U.S. market during 2000-05. Please note the time period(s) of any such changes, the factor(s) involved, and the impact any such changes had on your U.S. shipment volumes and prices of your subject imported uranium. Identify the specific uranium products/services and countries of origin. *Attach additional pages of discussion as needed.*

Please discuss any changes that you anticipate in the future in the **availability and/or competitiveness** of the subject imported uranium products/services in the U.S. market. Identify the specific future time period(s) covered in your response, and the factors that you believe would be responsible for any such changes. Provide any underlying assumptions, along with relevant portions of business plans or other supporting documentation, that address this issue. Identify the specific uranium products/services and countries of origin. *Attach additional pages of discussion as needed.*

For any contractual arrangements your firm may have to purchase the subject imported uranium in the future, please report below for 2006-07 the types, minimum and maximum quantities, and countries of origin of the imported uranium products/services that your firm is obligated to purchase, and the quantities and types of such uranium you have already resold, and to whom. Please also indicate whether the buyer(s) is(are) end user(s) or other participant(s) in the nuclear fuel cycle.

PART III--PRICING AND MARKET FACTORS--Continued**Section III-G.--SUPPLY FACTORS--Continued**

III-G.3 Describe how easily your firm can shift its sales of the subject imported uranium products/services between the U.S. market and foreign-country markets. In your discussion, please describe any contract, other sales arrangements, container/shipping requirements, or other constraints (including, but not limited to, foreign country tariffs, quotas, or other non-tariff trade barriers) that would prevent or retard your firm from shifting sales of the subject imported uranium products/services among countries within a 12-month period.

III-G.4 Were you ever unable to supply the subject imported uranium products/services to a U.S. customer in a timely manner at prevailing prices and in the quantities desired during 2000-05?

☐

YES

☐

NO

If yes, please identify each customer involved, when such supply problems occurred, whether certain customers were placed on allocation, the quantities and geographic regions of the United States involved, and the reason(s) for any such supply difficulties. Also include a discussion of your efforts to resolve these supply problems. Identify the specific uranium products/services and countries of origin.

PART III--PRICING AND MARKET FACTORS--Continued

III-G.4 Cont. Please discuss fully, to the extent possible, any changes that you anticipate in the future your firm's imports of the uranium products/services. Identify the specific future time period(s) involved and discuss the factor(s) that you believe would be responsible for any such changes. Include in your discussion how termination of the suspended investigations covering imports of uranium from Russia would affect your purchases of imported uranium. Provide any underlying assumptions, along with relevant portions of business plans or other supporting documentation, that support this issue. *Attach additional pages of discussion as needed.*

PART III--PRICING AND MARKET FACTORS--Continued**Section III-G.--SUPPLY FACTORS--Continued**

<p>★ III-G.5</p>	<p>Please discuss the likelihood of future large-scale U.S. production and/or imports of LEU-HF or other low-enriched uranium products blended-down from HEU and identify any technical and/or commercial roadblocks to such production/importation. In addition, discuss any possible changes in the U.S. uranium industry and in the range of U.S. imported uranium products/services that might be expected to result from such production/importation. Specify the time period(s) involved. <i>Attach additional pages of discussion as needed.</i></p>

<p>★ III-G.6</p>	<p>Please provide as separate attachments to this request, to the extent possible, any studies, surveys, etc that you are aware of that quantify and/or otherwise discuss uranium products/services production, capacity, capacity utilization, and inventories in (1) the United States, (2) each of the other major producing countries, including Russia, and (3) the world as a whole. Of particular interest is such data on an annual basis from January 1, 2000 to the present and forecasts of these supply data.</p>
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<p>★ III-G.7</p>	<p>Please provide as separate attachments to this request the following information:</p> <p>Identify and discuss any improvements or other changes in the U.S. uranium industry since January 1, 2000, and explain fully, to the extent possible, the factor(s), including the suspension agreements and order under review, that were responsible for each improvement or change.</p> <p>In addition, please discuss fully, to the extent possible, any improvements or other changes that you anticipate in the future in the U.S. uranium industry. Identify the specific time period covered in your response, and discuss in detail the factors that you believe would be responsible for any such improvements or changes.</p> <p><i>In your responses to these requests, please provide underlying assumptions, along with relevant portions of business plans or other supporting documentation, that address these issues.</i></p>
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PART III--PRICING AND MARKET FACTORS--Continued



Please discuss below how total annual U.S. demand (and, if known, annual demand in the rest of the world) for the uranium products/toll-services may have changed since January 1, 2000 AND may change in the future. Identify the principal factors (including possibly, but not limited to, changes in reload cycles of electric utilities, increased availability of LEU-HF, and any changes in the end uses of the uranium products) leading to any such changes in demand. For likely changes in the future, identify the time period(s) involved and the factor(s) that you believe would be responsible for such changes. For likely future changes, provide any underlying assumptions that address this issue. *Attach additional pages of discussion as needed.*

Please provide as separate attachments to this request any studies, surveys, etc that you are aware of that quantify and/or otherwise discuss demand for the uranium products/services in (1) the United States, (2) each of the other major producing/consuming countries, including Russia, and (3) the world as a whole. Of particular interest is such data on an annual basis from January 1, 2000 to the present and forecasts of these demand data.

PART III--PRICING AND MARKET FACTORS--Continued

Section III-H.--DEMAND FACTORS--Continued

<p>★ III-H.2</p>	<p>Have there been any changes in the end uses of LEU-HF, enrichment services, and other uranium products/services since January 1, 2000? If so, please describe.</p> <hr/> <hr/> <hr/> <hr/> <hr/>
<p>Please discuss any anticipated changes in the end uses of these uranium products/services in the future, identifying the time period(s) involved and the factor(s) that you believe would be responsible for such changes. Provide any underlying assumptions that address this issue.</p> <hr/> <hr/> <hr/>	

<p>★ III-H.3</p>	<p>Since January 1, 2000, have you solicited, negotiated, signed, or been solicited to negotiate or sign any firm or contingent contracts with Russian producers, exporters, importers, or traders of uranium or uranium processing services for the future purchase of any Russian uranium products or processing services?</p> <p align="right">YES <input type="checkbox"/> NO <input type="checkbox"/></p> <p><i>If yes, please provide descriptions of these contracts/agreements/solicitations, identifying the type(s) and quantities of uranium product(s)/service(s), date(s) of commencement, and duration of the contracts/agreements/solicitations.</i></p> <hr/> <hr/> <hr/> <hr/> <hr/> <hr/>
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PART III--PRICING AND MARKET FACTORS--Continued**Section III-H.--DEMAND FACTORS--Continued**

Substitution refers to products that can, based on market price considerations and end user/ consumer preferences/technical capabilities, reasonably be expected to substitute for each other when the price of one product changes vis-a-vis the price of the other product--some end users/consumers may require greater price changes than others before they switch among the alternative products.

**III-H.4**

Identify below any products that may substitute for any of the uranium products/toll-services and indicate how frequently any such substitution may occur. In your response consider direct purchases of natural UF₆, LEU-HF, and LEU-DO, as substitutes for contracting for natural/enriched conversions and enrichment services. Discuss the end uses where such substitution may occur.

To the extent possible, describe the approximate price sensitivity of the substitutions listed above (i.e., by what percent would the current price of the specified uranium products/toll-services have to increase, all other prices remaining constant, before you or your customers would start to substitute the identified alternatives). Please respond separately for each uranium product and service.

Please discuss fully, to the extent possible, any changes in the number or types of products that can be substituted for the uranium products/toll-services during 2000-05 and any changes you anticipate in the future. Identify the specific future time period involved, and discuss the factor(s) that would be responsible for any such changes. *Attach additional pages of discussion as needed.*

PART III--PRICING AND MARKET FACTORS--Continued

Section III-I.--COMPETITION FROM IMPORTS



III-I.1

Please compare market prices of uranium products/toll-services in the United States with prices in other countries (including Russia) if known. Discuss separately prices on a spot market basis and on a long-term contract basis and specify the countries of origin of the uranium products and toll-services. *Attach additional pages of discussion as needed.*



III-I.2

Has the availability of **nonsubject imported** uranium products/toll-services changed since January 1, 2000? Please respond by specific uranium products/services and identify the countries of origin. *Attach additional pages of discussion as needed.*

PART III--PRICING AND MARKET FACTORS--Continued

III-I.3

Are the **U.S.-produced** uranium products/toll services and the associated enrichment service, the **subject imported** uranium products/toll services from Russia and **nonsubject imported** uranium products/toll services used interchangeably (i.e., can they physically be used in the same applications)? *If no*, please explain by country(ies) and uranium product(s)/toll service(s). Consider each country pair in the latter comparisons, but also make comparisons using subject-country pairs.

(1) United States vs. Russia ☐ YES ☐ NO (Please explain below)

Uranium products and/or toll service(s):

(2) United States vs. ☐ YES ☐ NO (Please explain below)

Uranium products and/or toll service(s):

(3) United States vs. ☐ YES ☐ NO (Please explain below)

Uranium products and/or toll service(s):

(4) Russia vs. ☐ YES ☐ NO (Please explain below)

Uranium products and/or toll service(s):

(5) Russia vs. ☐ YES ☐ NO (Please explain below)

Uranium products and/or toll service(s):

(6) vs. ☐ YES ☐ NO (Please explain below)

Uranium products and/or toll service(s):

Comments (identify the specific comparisons by the numbers above):

[illegible]

PART III--PRICING AND MARKET FACTORS--Continued**Section III-I.--COMPETITION FROM IMPORTS--Continued****III-I.4**

Are there any differences in product characteristics or sales conditions between **U.S.-produced** uranium products/toll services, the associated **subject imported** uranium products/toll services from Russia, and **nonsubject imported** uranium products/toll services (consider each country pair separately, including subject country pairs) that are a significant factor in your firm's sales of its imported uranium/services. *If yes*, please describe any such advantages or disadvantages of the domestic or imported product/service vis-a-vis the imported product/service (e.g., quality, availability, transportation network, product range, technical support, etc.). Consider each country pair in the latter comparisons, but also make comparisons using subject-country pairs.

(1) United States vs. Russia ☐ YES (Please explain below) ☐ NO

Uranium products and/or toll service(s):

(2) United States vs. ☐ YES (Please explain below) ☐ NO

Uranium products and/or toll service(s):

(3) United States vs. ☐ YES (Please explain below) ☐ NO

Uranium products and/or toll service(s):

(4) Russia vs. ☐ YES (Please explain below) ☐ NO

Uranium products and/or toll service(s):

(5) Russia vs. ☐ YES (Please explain below) ☐ NO

Uranium products and/or toll service(s):

(6) vs. ☐ YES (Please explain below) ☐ NO

Uranium products and/or toll service(s):

Comments (identify the specific comparisons by the numbers above):

PART III--PRICING AND MARKET FACTORS--Continued**Section III-I.--COMPETITION FROM IMPORTS--Continued****III-I.5**

Are price differences between **U.S.-produced** uranium products/toll services, the **subject imported** uranium products/toll services from Russia, and **nonsubject imported** uranium products/toll services a significant factor in your firm's sales of its imported product/service? *If yes, please explain and identify the countries of origin for which you are responding. Respond separately for spot market sales and long-term contract sales. Consider each country pair in these comparisons, but also make comparisons using subject-country pairs.*

(1) United States vs. Russia ☐ YES (Please explain below) ☐ NO

Uranium products and/or toll service(s):

(2) United States vs. ☐ YES (Please explain below) ☐ NO

Uranium products and/or toll service(s):

(3) United States vs. ☐ YES (Please explain below) ☐ NO

Uranium products and/or toll service(s):

(4) Russia vs. ☐ YES (Please explain below) ☐ NO

Uranium products and/or toll service(s):

(5) Russia vs. ☐ YES (Please explain below) ☐ NO

Uranium products and/or toll service(s):

(6) vs. ☐ YES (Please explain below) ☐ NO

Uranium products and/or toll service(s):

Comments (identify the specific comparisons by the numbers above):

PART III.--PRICING AND MARKET FACTORS--Continued**Section III-J.--CUSTOMER IDENTIFICATION**

FOR SALES ONLY: Please identify below the names and addresses of your firm's 10 largest U.S. customers for its U.S. imports of uranium products/toll services from Russia that it sold during 2000-05. Please also provide the name and telephone number of a contact person and the approximate percentage share of the quantity (uranium concentrates in pounds of U_3O_8 , natural and low-enriched UF_6 in kilograms of U, and LEU-DO in kilograms of U) of your firm's total sales of each type of its imported uranium product/toll service that each of these customers accounted for during 2000-05. Copy this table as needed to respond separately for each type of imported uranium product/service and from Russia; show at the top of the table where indicated the country and subject imported uranium product/service for which you are reporting.

Subject country:		Uranium product/service:			
No.	Customer's name	Street address, state, and zip code	Contact person	Area code and telephone number	Share of 2005 sales (%)
1					
2					
3					
4					
5					
6					
7					
8					
9					
10					